

The sign problem and Abelian lattice duality

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- Lattice duality solves the sign problem for a broad class of Abelian models, mapping complex actions into dual models with real actions.
- For extended regions of parameter space, calculable for each model, duality resolves the sign problem for both analytic methods and computer simulations.
- Explicit duality relations are given for models for spin and gauge models based on $Z(N)$ and $U(1)$ symmetry groups.
- The dual forms are generalizations of the chiral $Z(N)$ model and the lattice Frenkel-Kontorova model, respectively.
- From this equivalence, a rich set of spatially-modulated phases is found in the strong-coupling region of the original models.

Key results from Generalized PT symmetry

Finite density models have CT symmetry:

$$\mathcal{C} : A_\mu \rightarrow -A_\mu \quad \mathcal{T} : i \rightarrow -i \quad \mathcal{CT} : P \rightarrow P$$

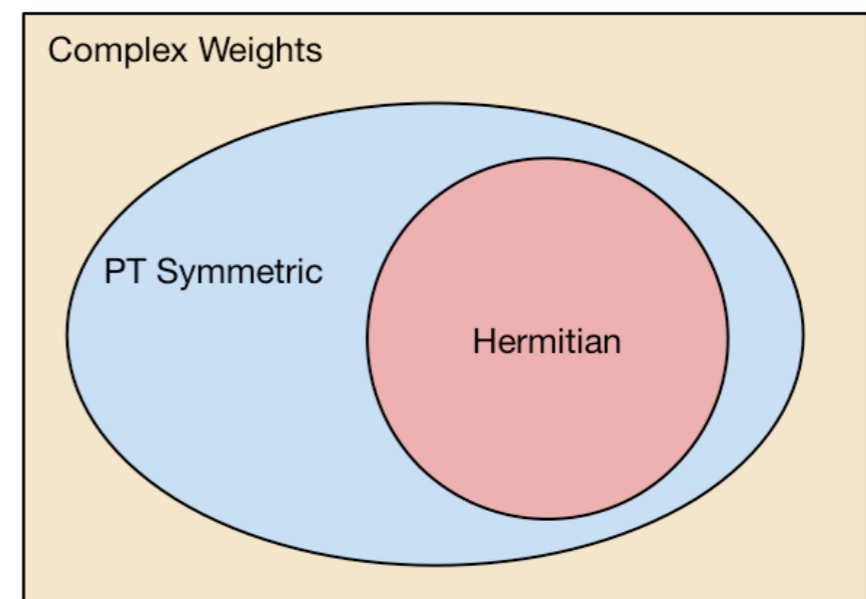
- Eigenvalues real or in conjugate pairs [Bender and Boettcher \(1998\)](#)
- Equivalence to hermitian model when all eigenvalues are real [Mostafazadeh \(2003\)](#)
- Constructibility of a real representation [Meisinger and mco \(2013\)](#)
- PT models have real partition functions [Meisinger and mco \(2013\)](#)

$$Z = \sum_r e^{-\beta E_r} + \sum_p \left(e^{-\beta E_p} + e^{-\beta E_p^*} \right)$$

Lattice-oriented review:

[Meisinger and mco arXiv:1208.5077](#)

[Phil.Trans.Roy.Soc.Lond. A371 \(2013\) 20120058](#)

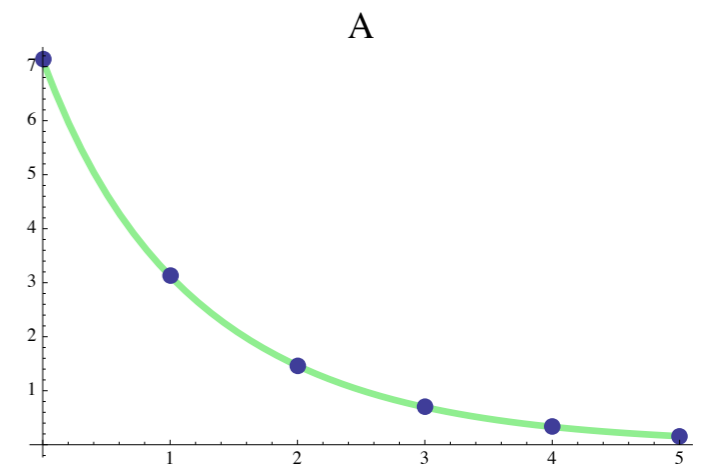


Classification of Phases via \mathcal{PT} Symmetry

Example: $d=1$ $Z(3)$ spin chain with complex action (Meisinger, mco & Wisner, 2010)

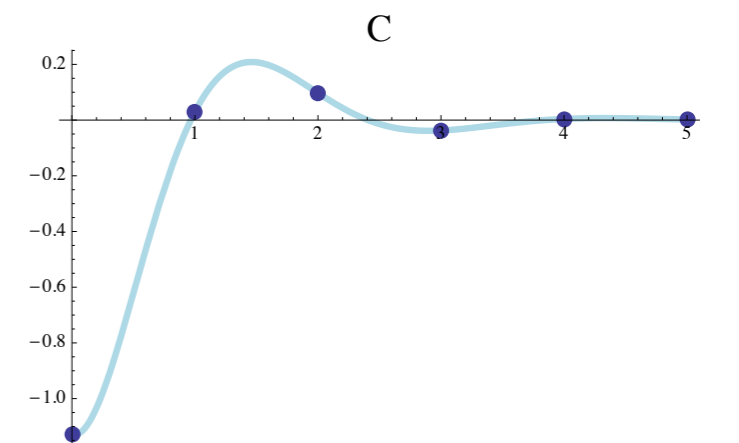
- Region I: \mathcal{PT} symmetry is unbroken, and all eigenvalues are real. Behavior of correlation functions similar to a Hermitian system.

$$E_j \in \mathbb{R} \quad \forall j$$



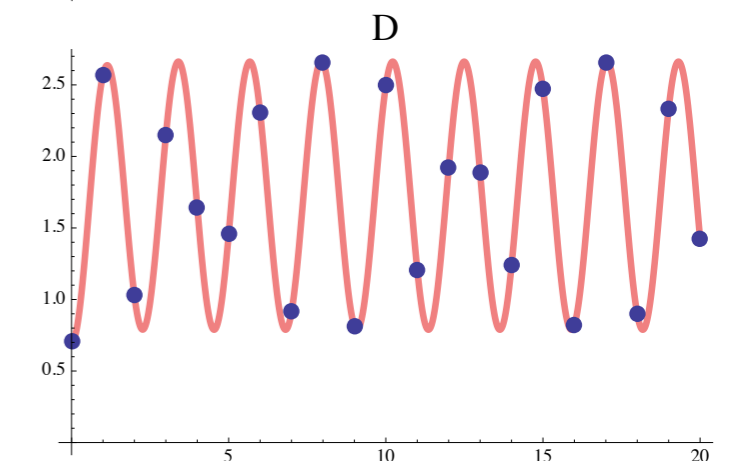
- Region II: \mathcal{PT} symmetry is broken by a one or more pairs of excited states becoming complex. Thermodynamic properties are unaffected, but oscillatory behaviors appears in correlation functions.

$$E_j \neq E_j^* \quad j > 0$$



- Region III: \mathcal{PT} symmetry is broken by the ground state becoming complex. The system is in a spatially modulated phase.

$$E_0 \neq E_0^*$$



d=2 U(1): derivation

Villain form of XY model with a chemical potential [following JKKN, 1977](#)

Heat kernel
action

$$Z = \int_{S^1} [d\theta] \sum_{n_\nu} \exp \left[-\frac{K}{2} \sum_{x,\nu} (\partial_\nu \theta(x) - i\mu\delta_{\nu 2} - 2\pi n_\nu(x))^2 \right]$$

Duality transform
of action

$$Z = \int_{S^1} [d\theta] \prod_{x,\nu} \sum_{p_\nu(x) \in Z} \frac{1}{\sqrt{2\pi K}} e^{-p_\nu^2(x)/2K} e^{ip_\nu(x)(\nabla_\nu \theta(x) - i\delta_{\nu 2}\mu)}$$

Introduction of
dual variables

$$Z = \sum_{\{m(X)\} \in Z} \frac{1}{\sqrt{2\pi K}} e^{K - \sum_{x,\nu} [(\nabla_\nu m(X))^2/2K + \mu\delta_{\nu 2}\epsilon_{\nu\rho}\nabla_\rho m(X)]}$$

$$p_\rho(x) = \epsilon_{\rho\nu}\nabla_\nu m(X)$$

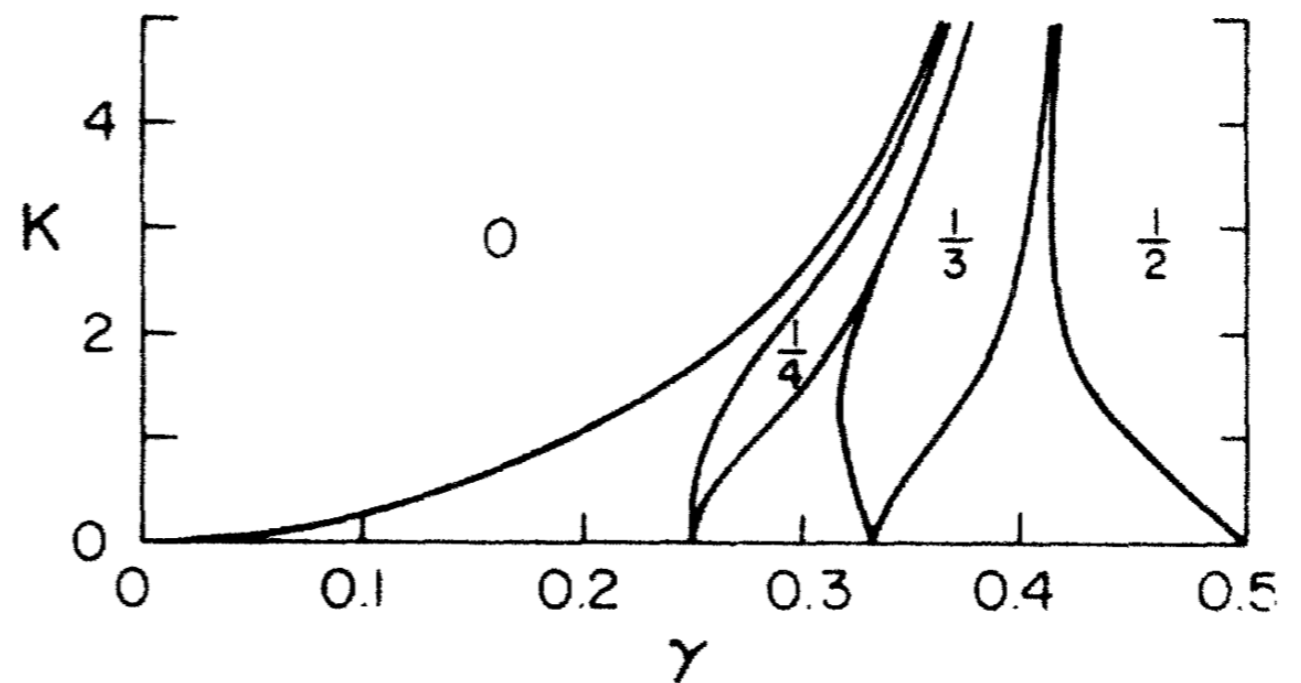
Introduction of
dual scalar field

$$Z = \int_R [d\phi(X)] e^{-\sum_{x,\nu} [(\nabla_\nu \phi(X))^2/2K - \mu\nabla_1 \phi(X)]} \sum_{\{m(X)\} \in Z} e^{2\pi im(X)\phi(X)}$$

d=2 U(1): interpretation

$$m=1 \text{ only } Z = \int_R [d\phi(X)] \exp \left[- \sum_{X,\mu} \frac{1}{2K} (\nabla_\mu \phi(X))^2 - \sum_X \mu \nabla_1 \phi(X) + \sum_X 2y \cos(2\pi \phi(X)) \right]$$

- m=1 contributions only gives a lattice sine-Gordon model with an extra term: lattice form of Frenkel-Kontorova model.
- For fixed X_2 , derivative term counts the number of kinks on that slice.
- Continuum form equivalent to a massive Thirring model with a chemical potential.
- Frenkel-Kontorova model has rich modulated phase structure.



Chou and Griffiths, 1986

This d=1 phase diagram is valid for d=2 at high T by dimensional reduction.

$$\gamma \propto \mu$$

Duality: why it works

- PT symmetry is analogous to a reality condition on the Fourier transform

$$H(p, x) = p^2 + ix^3 \quad H^*(p, x) = H(p, -x)$$

In Abelian lattice models: $\mathcal{F}^2 = \mathcal{C}$

- Lattice duality for Abelian systems uses the Fourier transform on the group

$$\text{Z(3) model: } e^{J_1 z + J_2 z^2} = a_0 + a_1 z + a_2 z^2$$

coefficients all real!

Plenary talk by Gaiotto; see also many papers in the last few years.

- In the dual representation, particles are lattice topological excitations.

4d: particle worldlines become magnetic monopole worldlines under duality; μ couples to the monopole current density

d=2 Z(N)

- Villain form again using methods of Elitzur *et al.* (1979)

$$Z = \sum_m \sum_{n_\nu} \exp \left[-\frac{J}{2} \sum_{x,\nu} \left(\frac{2\pi}{N} \partial_\nu m(x) - i\mu\delta_{\nu,2} - 2\pi n_\nu(x) \right)^2 \right]$$

- Exact duality statements

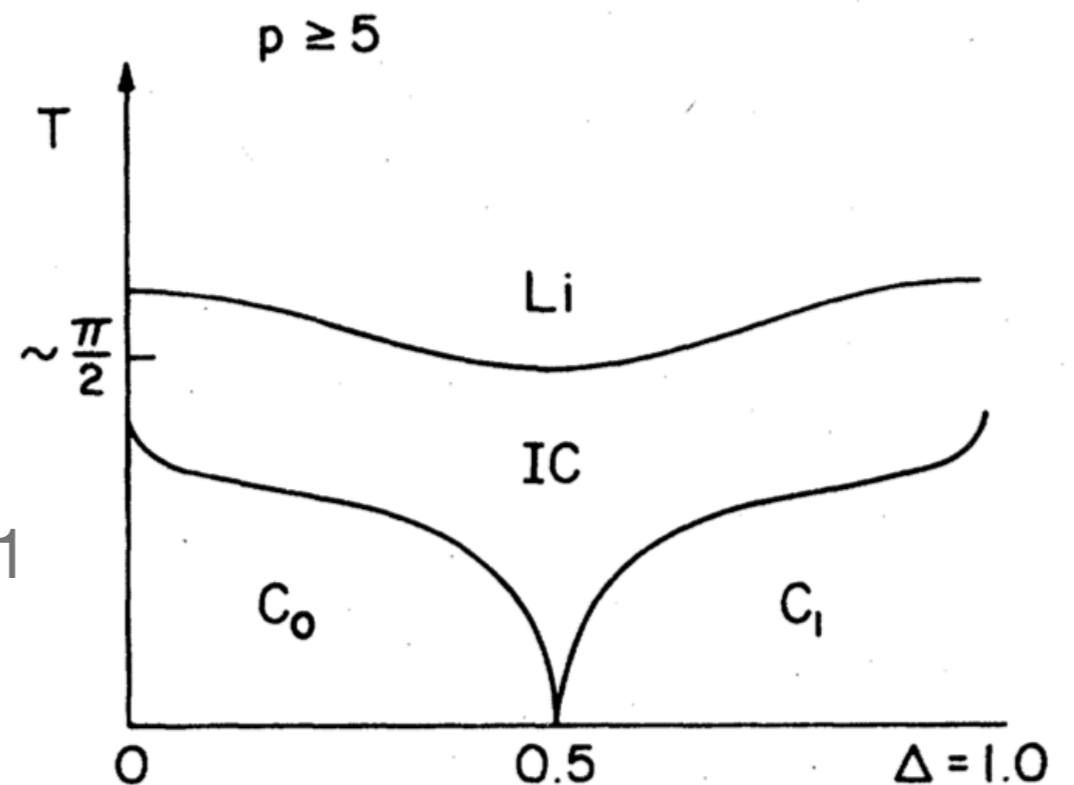
$$J \leftrightarrow \tilde{J} = \frac{N^2}{4\pi^2 J}$$

$$\mu\delta_{\nu,2} \leftrightarrow \tilde{\mu} = -i \frac{2\pi J \mu}{N} \delta_{\nu,1}$$

- Incommensurate phase (IC) for J small (Ostlund, 1981) that extends the Coulomb phase for $N > 4$. Li is the ordered phase. C0 is the dual ordered phase. C1 is a high-density phase. There are N different C phases.

Ostlund, 1981

$$\Delta = J\mu$$



d=3 Z(N): duality

Villain action for gauge and spins.

$$Z = \sum_{m, n_\nu, p_\nu, q_{\nu\rho}} \exp \left[-\frac{J}{2} \sum_{x, \nu} \left(\frac{2\pi}{N} \partial_\nu m(x) - \frac{2\pi}{N} p_\nu - i\mu_\nu - 2\pi n_\nu(x) \right)^2 \right] \\ \times \exp \left[-\frac{K}{2} \sum_{x, \nu > \rho} \left(\frac{2\pi}{N} (\partial_\nu p_\rho - \partial_\rho p_\nu) - iG_{\nu\rho} - 2\pi q_{\nu\rho} \right)^2 \right]$$

G is a background field, but corresponds to an electric field in Minkowski space. This is again a sign problem (Shintani *et al.* 2006; Alexandru 2008).

Duality swaps between gauge and spin degrees of freedom.

$$J \rightarrow \tilde{J} = \frac{N^2}{4\pi^2 K}$$

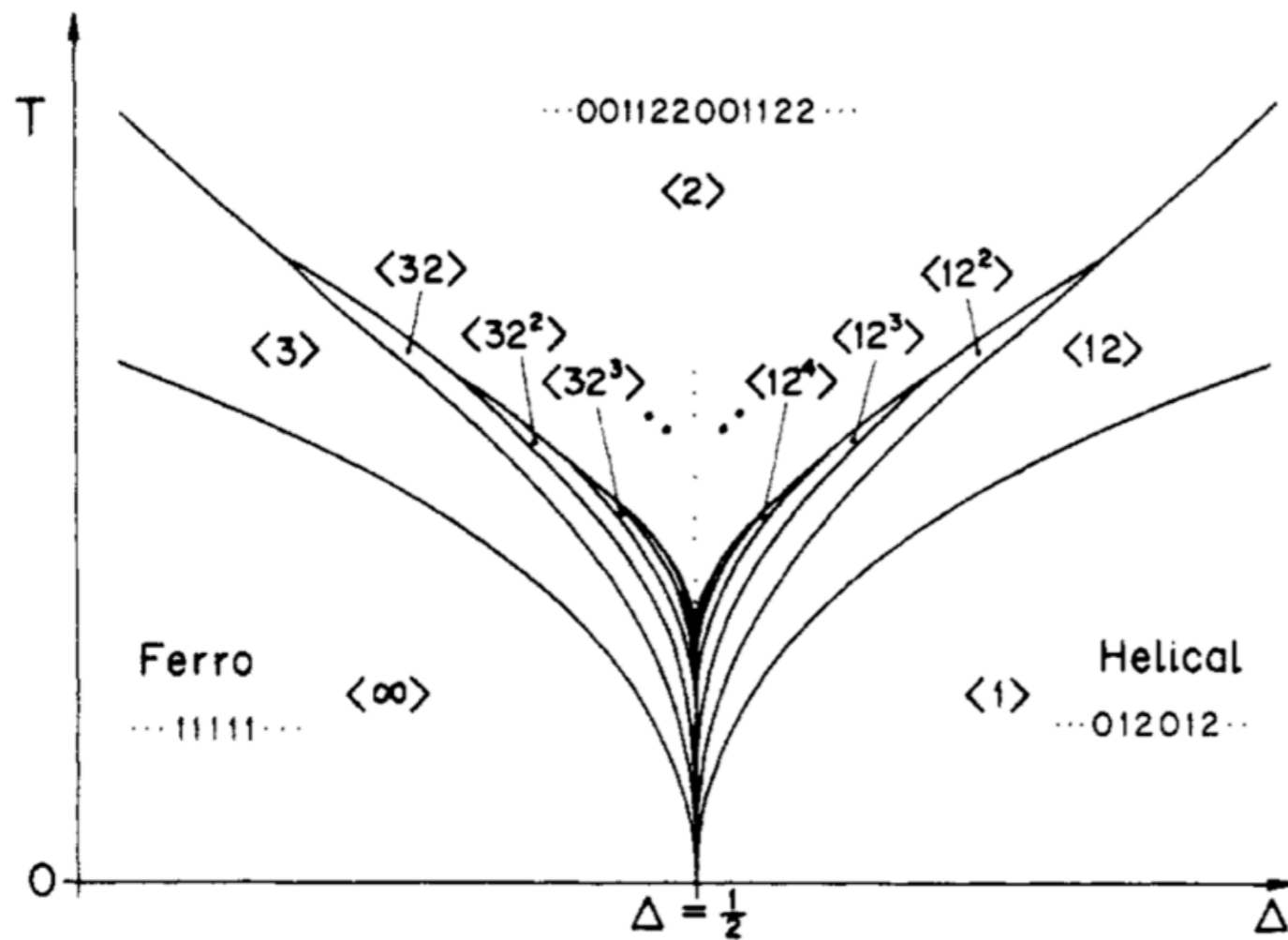
$$K \rightarrow \tilde{K} = \frac{N^2}{4\pi^2 J}$$

$$\mu_\nu \rightarrow \tilde{\mu}_\nu = -i \frac{2\pi K}{N} \epsilon_{\nu\rho\sigma} G_{\rho\sigma}$$

$$G_{\nu\rho} \rightarrow \tilde{G}_{\nu\rho} = -i \frac{2\pi J}{N} \epsilon_{\nu\rho\sigma} \mu_\sigma$$

d=3 Z(N): gauge theory

Duality in d=3 maps Z(N) gauge theory to the chiral Z(N) spin model



The dual model has a rich set of commensurate modulated phases.

$$\Delta = KG_{12}$$

Figure 1. Schematic representation (not to scale) of the (T, Δ) phase diagram of the chiral Potts or asymmetric clock model illustrating the unbounded sequences of commensurate phases of character $\langle 32^k \rangle$ and $\langle 12^k \rangle$ for $k = 0, 1, 2, \dots$. Fisher and Yeomans, 1981

General result for $Z(N)$

Arbitrary PT-symmetric $Z(N)$ potential has N real parameters v_j

$$V(z) = \sum_{j=0}^{N-1} v_j z^j$$

$$V_j = V(\omega^j)$$

CT invariance

$$V_{N-j} = V_j^*$$

$$\omega = \exp(2\pi i/N)$$

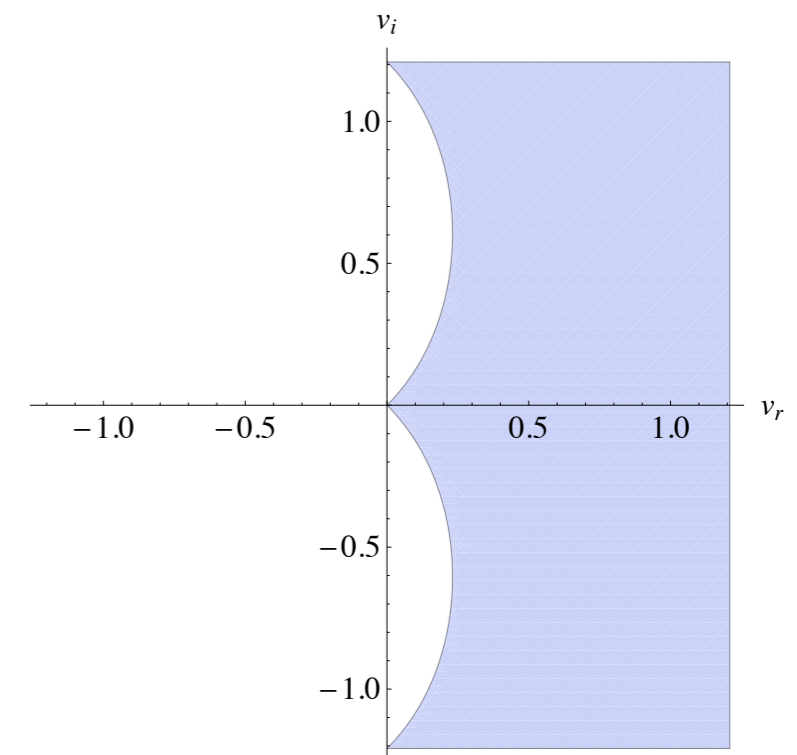
Duality is a $Z(N)$ Fourier transform:

$$\exp(-\tilde{V}_j) = \sum_{k=0}^{N-1} \omega^{jk} \exp(-V_k)$$

Dual positive weight region for $Z(3)$

$$v_r = v_1 + v_2$$

$$v_i = v_1 - v_2$$



In the dual positive weight region

$$\tilde{V}(w) = \sum_{j=0}^{N-1} \tilde{v}_j w^j$$

dual potential is real with chiral phases;
 N real parameters including phases

$$\tilde{v}_j = \tilde{v}_{N-j}^*$$

Conclusion and Prospects

- Solution of the sign problem for Abelian lattice models: both analytical and simulation methods can be applied to a large class of Abelian models
- Rich phase structure for Abelian systems seems typical
- Prospects for non-Abelian systems
 - Real representation exists!
 - Lack of full non-Abelian duality a problem
 - $SU(N)$ deformed to $U(1)^{N-1}$ can be treated