

# Tight-binding model of graphene with Coulomb interactions

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Dedicated to the memory of Professor Mikhail Polikarpov

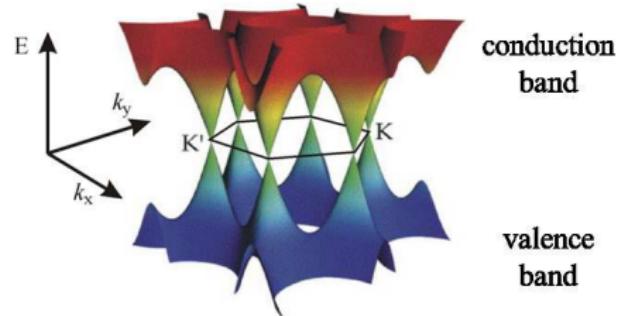


# Introduction

Many properties of graphene are well understood in extreme weak coupling limit.

$$H = \sum_{\langle x,y \rangle, s} (-\kappa)(a_{x,s}^\dagger a_{y,s} + a_{y,s}^\dagger a_{x,s}) \quad , \quad \{a_\mu, a_\nu\} = \{a_\mu^\dagger, a_\nu^\dagger\} = 0 \quad , \quad \{a_\mu^\dagger, a_\nu\} = \delta_{\mu\nu}$$

- ▶ Well described by tight-binding theory.
- ▶ Conical dispersion at low energies.
- ▶ Low energy effective Dirac theory.
- ▶ Van Hove singularity at saddle points.
- ▶ Semi-metallic behavior (no band gap).
- ▶ ...



Include electromagnetic interaction: Low energy theory becomes QED<sub>2+1</sub>.

Simulated with staggered Fermions.

Drut, Lähde,  
Phys.Rev.Lett. 102,  
026802 (2009)

Armour, Hands,  
Strouthos,  
Phys.Rev.B81:125105,  
2010

Buividovich et al.  
(ITEP),  
Phys. Rev. B 86  
(2012), 045107

Presently: Go beyond low energies. → **Simulate hexagons directly.**



# Introduction

First derivation of path-integral for hexagonal lattice:

Brower,Rebbi,Schaich,  
PoS(Lattice 2011)056

Currently:

- ▶ Tight-binding with gauge-links.

Buividovich, Polikarpov,  
Phys. Rev. B 86 (2012)  
245117

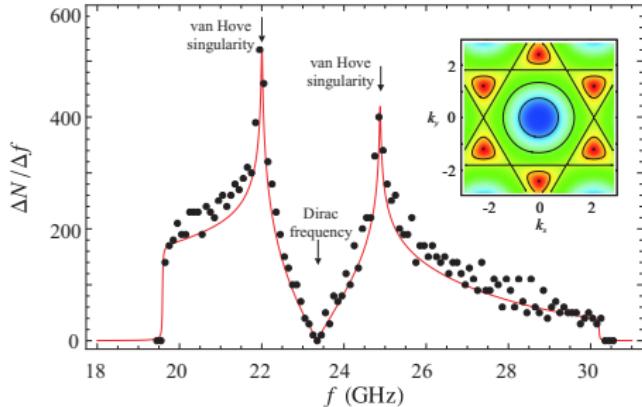
- ▶ **Tight-binding with instantaneous interactions.**

Ulybyshev et al. (ITEP),  
arXiv:1304.3660

Our goal: Investigate effect of  
interactions on Van Hove  
singularity.

Dietz,Smekal et al.  
arXiv:1304.4764

**Status: CUDA code  
operational and producing.  
Plausibility checks (find  $\alpha_c$ )  
and cross-checks (ITEP).**



# Outline

- ▶ Interacting tight-binding model with normal-ordering terms (non-compact Hubbard field).
- ▶ Introducing the compact Hubbard field → **results**.
- ▶ Improved Fermion discretization (ITEP) → **comparison**.



# Interacting tight-binding theory

Since  $v_F \approx c/300 \ll c$ , model interactions by non-local potential (Brower et al.):

$$H = \sum_{\langle x,y \rangle, s} (-\kappa)(a_{x,s}^\dagger a_{y,s} + a_{y,s}^\dagger a_{x,s}) + \sum_{x,y} e^2 q_x V_{xy} q_y, \quad q_x = a_{x,1}^\dagger a_{x,1} + a_{x,-1}^\dagger a_{x,-1} - 1$$

Introduce hole operators  $b_x^\dagger, b_x$  for spin  $-1$  particles:

$$b_x^\dagger = a_{x,-1}, \quad b_x = a_{x,-1}^\dagger, \quad a_x^\dagger = a_{x,+1}^\dagger, \quad a_x = a_{x,+1} \quad \longrightarrow \quad q_x = a_x^\dagger a_x - b_x^\dagger b_x.$$

Apply normal ordering  $\rightarrow$  extra term from potential. Flip sign of  $b_x^\dagger, b_x$  on one sub-lattice.

$$H = \sum_{\langle x,y \rangle, s} (-\kappa)(a_x^\dagger a_y + b_y^\dagger b_x + \text{h.c.}) + \sum_{x,y} e^2 :q_x V_{xy} q_y: + \sum_x e^2 V_{xx} (a_x^\dagger a_x + b_x^\dagger b_x)$$

Add “staggered” mass to break-sublattice symmetry:

$$H \rightarrow H + \sum_x m_s (a_x^\dagger a_x + b_x^\dagger b_x) \quad (m_s \pm m, x \in A, B)$$



# Functional-integral for interacting theory



Factor the exponential:  $e^{-\beta H} \approx e^{-\delta H} e^{-\delta H} \dots e^{-\delta H}$        $\delta = \beta/N_t$ .

Express partition function using **coherent states**  $|\psi_t, \eta_t\rangle$ ,  $\langle\psi_t, \eta_t|$ :

$$\text{Tr } e^{-\beta H} = \int \prod_{t=0}^{N_t-1} \left[ \prod_x d\psi_{x,t}^* d\psi_{x,t} d\eta_{x,t}^* d\eta_{x,t} \right] e^{-\sum_x (\psi_{x,t+1}^* \psi_{x,t+1} + \eta_{x,t+1}^* \eta_{x,t+1})} \langle\psi_{t+1}, \eta_{t+1}| e^{-\delta H} |\psi_t, \eta_t\rangle.$$

Using  $\langle\xi|F(a_\lambda^\dagger, a_\lambda)|\xi'\rangle = F(\xi_\lambda^*, \xi_\lambda') e^{\sum_\lambda \xi_\lambda^* \xi'_\lambda}$ , obtain

$$\begin{aligned} \text{Tr } e^{-\beta H} &= \int \prod_{t=0}^{N_t-1} \left[ \prod_x d\psi_{x,t}^* d\psi_{x,t} d\eta_{x,t}^* d\eta_{x,t} \right] \exp \left\{ -\delta \left[ \sum_{x,y} e^2 Q_{x,t+1,t} V_{xy} Q_{y,t+1,t} \right. \right. \\ &\quad - \sum_{\langle x,y \rangle} \kappa (\psi_{x,t+1}^* \psi_{y,t} + \psi_{y,t+1}^* \psi_{x,t} + \eta_{y,t+1}^* \eta_{x,t} + \eta_{x,t+1}^* \eta_{y,t}) + \sum_x m_S (\psi_{x,t+1}^* \psi_{x,t} + \eta_{x,t+1}^* \eta_{x,t}) \\ &\quad \left. \left. + \sum_x e^2 V_{xx} (\psi_{x,t+1}^* \psi_{x,t} + \eta_{x,t+1}^* \eta_{x,t}) \right] - \sum_x [\psi_{x,t+1}^* (\psi_{x,t+1} - \psi_{x,t}) + \eta_{x,t+1}^* (\eta_{x,t+1} - \eta_{x,t})] \right\}. \end{aligned}$$

where  $Q_{x,t,t'} = \psi_{x,t}^* \psi_{x,t'} - \eta_{x,t}^* \eta_{x,t'}$ . Antiperiodic in time! **Leading error is  $\mathcal{O}(\delta)$** .



# The Hubbard field

Hubbard-Stratonovich transformation eliminates fourth powers:

$$\exp \left( -\delta e^2 \sum_{x,y} Q_{x,t+1,t} V_{xy} Q_{y,t+1,t} \right) = [\det(\dots)]^{1/2}$$
$$\times \int \prod_x \left[ \prod_{t=0}^{N_t-1} d\phi_{x,t} \right] \exp \left( -\frac{\delta}{4} \sum_{t=0}^{N_t-1} \sum_{x,y} \phi_{x,t} V_{xy}^{-1} \phi_{y,t} - i e \delta \sum_{t=0}^{N_t-1} \sum_x \phi_{x,t} Q_{x,t+1,t} \right).$$

Gaussian integral can be carried out to obtain Fermion determinant

$$\text{Tr } e^{-\beta H} = \int \left[ \prod_{t=0}^{N_t-1} \prod_x d\phi_{x,t} \right] \exp \left\{ -\frac{\delta}{4} \sum_{t=0}^{N_t-1} \sum_{x,y} \phi_{x,t} V_{xy}^{-1} \phi_{y,t} \right\} \left| \det \left( M + i e \frac{\beta}{N_t} \phi_{x,t} \delta_{xy} \delta_{t-1,t'} \right) \right|^2$$

$$M_{(x,t)(y,t')} = \delta_{xy} (\delta_{tt'} - \delta_{t-1,t'}) - \frac{\beta}{N_t} \kappa \sum_{\vec{n}} \delta_{y,x+\vec{n}} \delta_{t-1,t'} + \frac{\beta}{N_t} m_S \delta_{xy} \delta_{t-1,t'} + \frac{\beta}{N_t} e^2 V_{xx} \delta_{xy} \delta_{t-1,t'}$$

**Suitable for HMC simulations!** No sign problem!



# Hybrid Monte-Carlo for non-compact Hubbard field



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Force terms for Hubbard field  $\phi$  and momentum  $p$ :

$$\frac{d}{d\tau}\phi_{x,t} = p_{x,t}, \quad -\frac{d}{d\tau}p_{x,t} = \frac{\beta}{2N_t}(V^{-1}\phi)_{x,t} - 2\frac{\beta}{N_t}e \operatorname{Im} \left( \chi_{x,t+1}^*(B^{-1}\chi)_{x,t} \right)$$

Order parameter for sub-lattice symmetry breaking ("chiral condensate")

$$\begin{aligned} \langle \Delta_N \rangle &= \operatorname{Tr} \left[ \widehat{\Delta}_N e^{-\beta H} \right] \quad \left( B = M + ie \frac{\beta}{N_t} \phi_{x,t} \delta_{xy} \delta_{t-1,t'} \right) \\ &= \frac{1}{Z N_t} \int \mathcal{D}\psi \mathcal{D}\psi^* \mathcal{D}\eta \mathcal{D}\eta^* \left[ \sum_{X_A,t} (\psi_{x,t+1}^* \psi_{x,t} + \eta_{x,t+1}^* \eta_{x,t}) - \sum_{X_B,t} (\psi_{x,t+1}^* \psi_{x,t} + \eta_{x,t+1}^* \eta_{x,t}) \right] e^{-\beta H} \\ &= \frac{-1}{\beta Z} \int \mathcal{D}\phi \left[ \frac{\partial}{\partial m} \det(BB^\dagger) \right] e^{-S[\phi]} = \frac{-2}{\beta Z} \int \mathcal{D}\phi \det(BB^\dagger) \operatorname{Re} \operatorname{Tr} \left( B^{-1} \frac{dB}{dm} \right) e^{-S[\phi]} \\ &= \frac{-2}{N_t} \sum_{t=0}^{N_t-1} \left\langle \sum_{x \in A} B_{(x,t+1)(x,t)}^{-1} - \sum_{x \in B} B_{(x,t+1)(x,t)}^{-1} \right\rangle \end{aligned}$$

Doesn't work (no symmetry breaking)! **Why??**



# The compact Hubbard field

No sub-lattice symmetry breaking observed. Possible reason: Non-compact Hubbard field  $\phi$  in Fermion determinant (thanks Maksim Ulybyshev!)

The determinant of  $\left( M + ie\frac{\beta}{N_t} \phi_{x,t} \delta_{xy} \delta_{t-1,t'} \right)$  is  $\sim \phi^V$   $\rightarrow$  uncontrollable errors from floating point rounding.

Solution: Use **compact** Hubbard field instead!

Brower,Rebbi,Schaich,  
PoS(Lattice 2011)056

Replacement:  $\left( \frac{\beta}{N_t} e^2 V_{xx} \delta_{xy} \delta_{t-1,t'} + ie\frac{\beta}{N_t} \phi_{x,t} \delta_{xy} \delta_{t-1,t'} \right) \rightarrow \exp \left( ie\frac{\beta}{N_t} \phi_{x,t} \right) \delta_{xy} \delta_{t-1,t'}$

Changes Fermion force term:

$$-\frac{d}{d\tau} p_{x,t} = \frac{\beta}{2N_t} (V^{-1}\phi)_{x,t} - 2\frac{\beta}{N_t} e \operatorname{Im} \left( \chi_{x,t+1}^* \exp \left( ie\frac{\beta}{N_t} \phi_{x,t} \right) (B^{-1}\chi)_{x,t} \right)$$

## Sub-lattice symmetry breaks!



# The potential

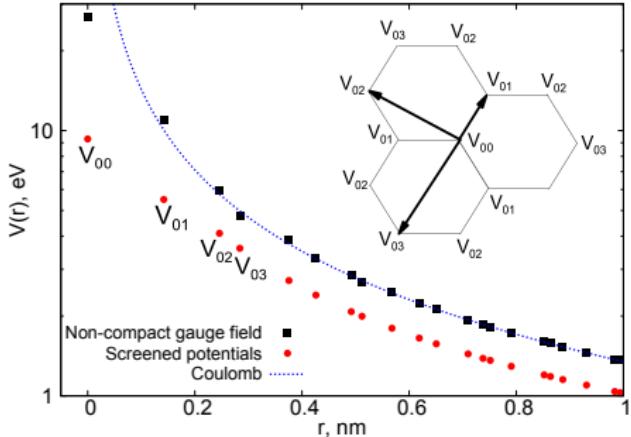
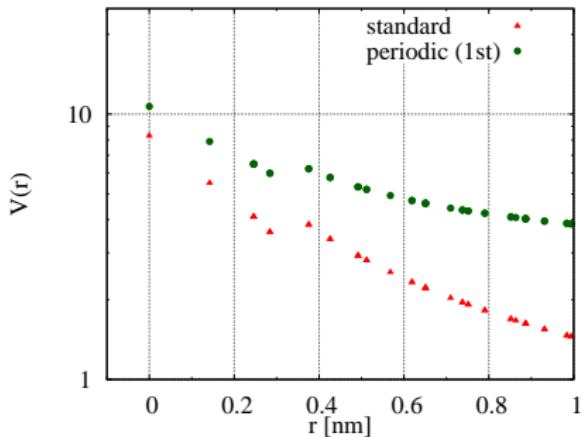


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Constructed piecewise: Constrained random phase approximation (cRPA) at short distances (Wehling et al. PRL 106, 236805 (2011)), Coulomb otherwise. Corrected for periodic boundary (one image in each direction). **Differs from ITEP.**

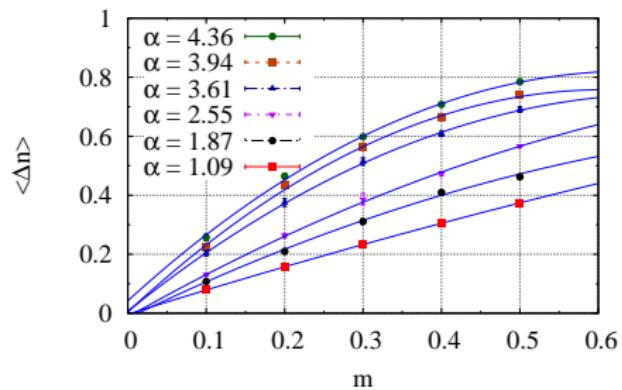
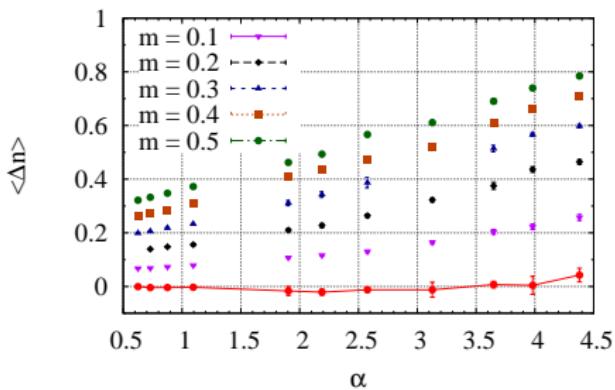
$$e^2 V(r) = \begin{cases} V_{00}, V_{01}, V_{02}, V_{03} & : r \leq 2a \\ e^2/r & : r > 2a \end{cases}$$

Ulybyshev et al. (ITEP),  
arXiv:1304.3660



# Results

Simulating at  $\beta = 2.0$  on  $N_x = 6$ . Extrapolating  $N_t \rightarrow \infty$  from  $N_t = 8, 10, 12, 14, 16$ . Several hundreds of independent measurements for each set  $(N_t, \alpha, m)$ .



Limit  $m \rightarrow 0$  from  $\langle \Delta_N \rangle = a_0 + a_1 m + a_2 m^2$ . Probably large finite-volume errors! (In progress: Improve  $V_{xy}^{-1}$  computation  $\rightarrow$  larger  $N_x$  will be feasible.)



# Improved discretization

Error of standard Fermion operator is  $\mathcal{O}(\delta)$ . Strategy for improvement: **split Hamiltonian** (ITEP).

$$\begin{aligned}\text{Tr } e^{-\beta H} \approx \text{Tr} \left[ e^{-\beta H_{TB}} e^{-\beta H_C} \right] &= \int \left[ \prod_{t=0}^{2N_t-1} \prod_x d\psi_{x,t}^* d\psi_{x,t} d\eta_{x,t}^* d\eta_{x,t} \right] \\ &\times \left\{ \prod_{t=0}^{N_t-1} e^{-\sum_x (\psi_{x,2t}^* \psi_{x,2t} + \eta_{x,2t}^* \eta_{x,2t}) + \psi_{x,2t+1}^* \psi_{x,2t+1} + \eta_{x,2t+1}^* \eta_{x,2t+1}} \right. \\ &\quad \left. \times \langle \psi_{2t}, \eta_{2t} | e^{-\delta H_{TB}} | \psi_{2t+1}, \eta_{2t+1} \rangle \langle \psi_{2t+1}, \eta_{2t+1} | e^{-\delta H_C} | \psi_{2t+2}, \eta_{2t+2} \rangle \right\}.\end{aligned}$$

Leads to 2nd-order Fermion action:

$$\begin{aligned}S_F[\phi] = \sum_{t=0}^{N_t-1} \left[ \sum_x \psi_{x,2t}^* (\psi_{x,2t} - \psi_{x,2t+1}) - \delta \kappa \sum_{\langle x,y \rangle} (\psi_{x,2t}^* \psi_{y,2t+1} + \psi_{y,2t}^* \psi_{x,2t+1}) \right. \\ \left. + \sum_x \psi_{x,2t+1}^* \left( \psi_{x,2t+1} - e^{-i\delta\theta\phi_{x,t}} \psi_{x,2t+2} \right) + \delta \sum_x \pm m \psi_{x,2t}^* \psi_{x,2t+1} \right].\end{aligned}$$



## Improved discretization (II)

Improved Fermion matrix:

$$M_{(x,t)(y,t')} = \begin{cases} \delta_{xy}(\delta_{tt'} - \delta_{t+1,t'}) - \frac{\beta}{N_t} \kappa \sum_{\vec{n}} \delta_{y,x+\vec{n}} \delta_{t+1,t'} + \frac{\beta}{N_t} m_S \delta_{xy} \delta_{t+1,t'} & : t \text{ even} \\ \delta_{xy} \delta_{tt'} - \delta_{xy} \delta_{t+1,t'} \exp(-i \frac{\beta}{N_t} e \phi_{x,(t-1)/2}) & : t \text{ odd} \end{cases}$$

Hubbard field only on odd timeslices!

$$\text{HMC force: } -\frac{d}{d\tau} p_{x,k} = \frac{\beta}{2N_t} (V^{-1} \phi)_{x,k} - \frac{2\beta}{N_t} e \operatorname{Im} \left( \chi_{x,2k+1}^* \exp \left( i \frac{\beta}{N_t} e \phi_{x,k} \right) (M^{-1} \chi)_{x,2k+2} \right)$$

Order parameter:

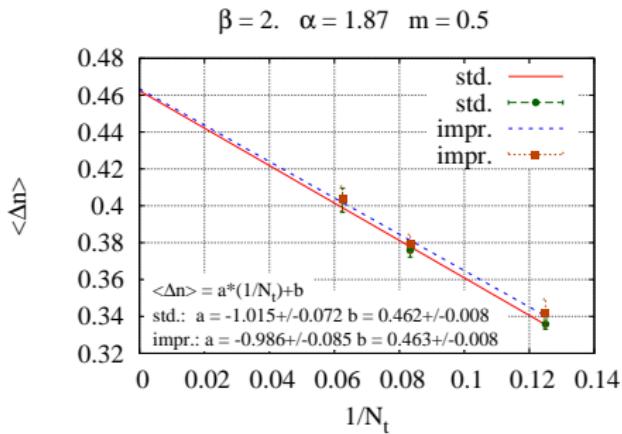
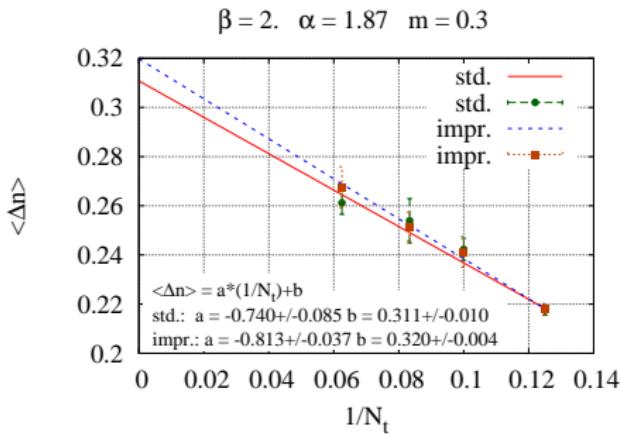
$$\begin{aligned} \langle \Delta_N \rangle &\propto \int \mathcal{D}\psi \mathcal{D}\psi^* \mathcal{D}\eta \mathcal{D}\eta^* \left[ \sum_{X_A, t} (\psi_{x,2t}^* \psi_{x,2t+1} + \eta_{x,2t}^* \eta_{x,2t+1}) - \sum_{X_B, t} (\psi_{x,2t}^* \psi_{x,2t+1} + \eta_{x,2t}^* \eta_{x,2t+1}) \right] e^{-\beta H} \\ &= \frac{-1}{\beta Z} \int \mathcal{D}\phi \left[ \frac{\partial}{\partial m} \det(M M^\dagger) \right] e^{-S[\phi]} = \frac{-2}{N_t} \sum_{t=0}^{N_t-1} \left\langle \sum_{x \in A} M_{(x,2t)(x,2t+1)}^{-1} - \sum_{x \in B} M_{(x,2t)(x,2t+1)}^{-1} \right\rangle \end{aligned}$$

Operator inserted only on even timeslices! Perhaps a problem...



# Comparison

$N_t \rightarrow \infty$  extrapolation for naive and improved Fermion operators.



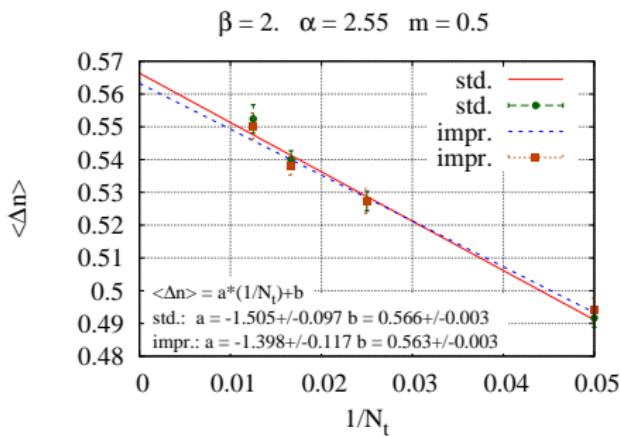
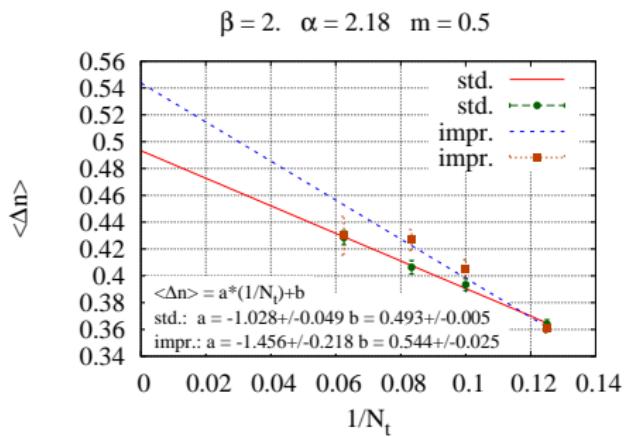
Within errors no difference.  $\mathcal{O}(\delta)$  behavior in both cases. Reason currently unknown. Coulomb energy shows similar behavior.



## Comparison (II)



Similar results for different  $\alpha$ . Self-consistency is confirmed, but no improvement...



Conclusion: Much work ahead.

