

# A possible new phase in gauge-fixed Yang-Mills theory

Maarten Golterman (IFAE, Barcelona and San Francisco State University)

with Yigal Shamir, Phys.Rev. D87 (2013) 054501

## Outline:

- non-perturbative gauge fixing: equivariant BRST symmetry (review)
- spontaneous symmetry breaking in a topological field theory?
- phase diagram of equivariantly gauge-fixed  $SU(2)$  Yang-Mills (speculation)

## Equivariant gauge fixing

- Standard BRST gauge fixing: insert into  $Z = \int [dU] \exp[-S(U)]$

$$\text{constant} = Z_{\text{gf}}(U, \xi) = \int [d\phi][dc][d\bar{c}] \exp[-S_{\text{gf}}(U^\phi, c, \bar{c})]$$

$Z_{\text{gf}}(U, \xi)$  independent of  $U$  and gf parameter  $\xi$ , but constant = 0

$U^\phi$  is gauge transform of  $U$

(Neuberger '87)

- equivariant gauge fixing: gauge fix only the coset  $SU(2)/U(1)$ 
  - $C = C_1\tau_1/2 + C_2\tau_2/2$ , etc. coset valued:  $sC = 0$  instead of  $sC = -iC^2$
  - $s^2(\text{field}) = \delta_{U(1)}(\text{field})$ : “equivariant” nilpotency
  - $S_{\text{gf}} = \frac{1}{\xi g^2} \text{tr} (F(U^\phi))^2 + 2 \text{tr} (\bar{C}M(U^\phi)C) - 2\xi g^2 \text{tr} (C^2\bar{C}^2)$
  - choose  $F(U) \sim D_\mu(A)W_\mu$ ,  $V_\mu = \frac{1}{2} (W_\mu^1\tau_1 + W_\mu^2\tau_2 + A_\mu\tau_3)$

- **Invariance theorem:**  $\langle \mathcal{O}(U) \rangle_{\text{unfixed}} = \langle \mathcal{O}(U) \rangle_{\text{eBRST}}$  (Schaden'98, G&S '04)

$Z_{\text{gf}}(U, \xi g^2) \neq 0$  does not depend on  $U$ ,  $\tilde{g}^2 = \xi g^2$  : topological field theory

- **Reduced model:** take  $U$  pure gauge (on trivial orbit, i.e.,  $g \rightarrow 0$ )

$$Z_{\text{gf}}(1, \tilde{g}^2) = \int [d\phi][dC][d\bar{C}] \exp [-S_{\text{gf}}(1^\phi, C, \bar{C})]$$

$$S_{\text{gf}} = \frac{1}{\xi g^2} \text{tr} (F(1^\phi))^2 + 2 \text{tr} (\bar{C} M(1^\phi) C) - 2\xi g^2 \text{tr} (C^2 \bar{C}^2)$$

with  $1^\phi = \phi_x \phi_{x+\mu}^\dagger$ , keep  $\tilde{g}^2 = \xi g^2$  fixed

This is a strongly interacting theory with asymptotically free coupling  $\tilde{g}$

- **Symmetries:**  $\phi_x \rightarrow h_x \phi_x g^\dagger$ ,  $s\phi = -iC\phi$ , etc.; **still TFT**  $\longrightarrow$  ?  $\longleftarrow$

$U(1)_L$   
(local)

$SU(2)_R$   
(global)

( $U(1)$  :  $\phi$  coset valued)

- **Order parameter:**  $\phi^\dagger \tau_3 \phi$  (invariant under unfixed  $U(1)_L$ )  
 $\langle \phi^\dagger \tau_3 \phi \rangle \neq 0$  breaks  $SU(2)_R \rightarrow U(1)_R$

- **Effective potential** for order parameter:

$$\exp(-V_{\text{eff}}(\tilde{A})) = \int [d\phi][dC][d\bar{C}] \delta \left( \tilde{A} - \frac{1}{V} \sum_x \phi_x^\dagger \tau_3 \phi_x \right) \exp(-S_{\text{gf}})$$

But, in view of  $dZ_{\text{gf}}/d\tilde{g} = 0$ , can  $V_{\text{eff}}(\tilde{A})$  be non-trivial?  
 In other words, can SSB ever take place in a TFT?

- First, consider a **toy model**

A toy model: zero-dimensional TFT (aka integral)

$$Z = \frac{1}{2\sqrt{\pi}} \int_{-\infty}^{\infty} d\phi \int dc d\bar{c} \exp[-f^2(\phi)/4 + \bar{c}f'(\phi)c]$$

b(aby)BRST:  $s\phi = c$ ,  $sc = 0$ ,  $s\bar{c} = f(\phi)/2$  (onshell form)

Of course,  $Z = \frac{1}{2\sqrt{\pi}} \int_{-\infty}^{\infty} d\phi f'(\phi) \exp[-f^2(\phi)/4] = 1$  ( $f \rightarrow \pm\infty$  for  $x \rightarrow \pm\infty$ )

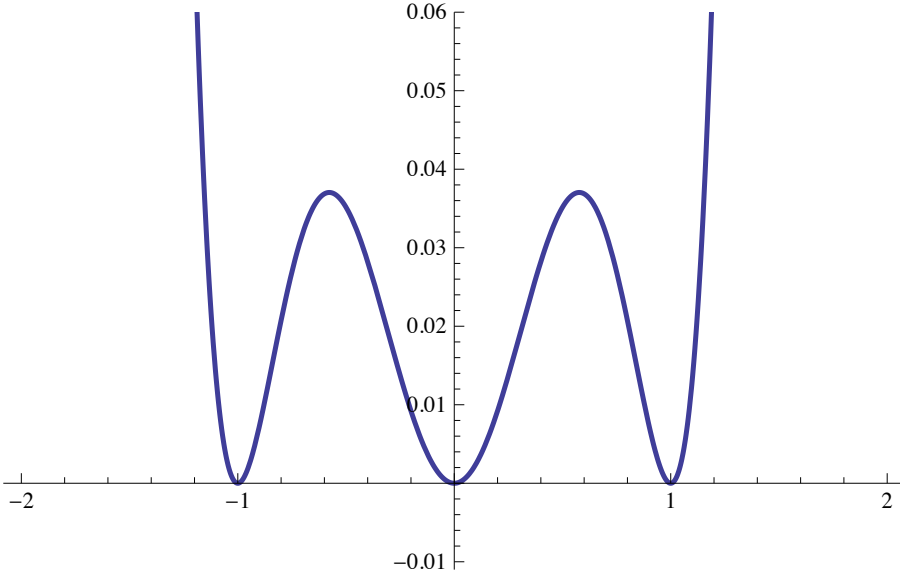
TFT because  $Z$  does not depend on  $f(\phi)$

Choose  $f(\phi) = \frac{1}{\lambda} (\phi^3 - v^2\phi)$  then model has bBRST and  $Z_2$  symm.  $\phi \rightarrow -\phi$

classical minima:  $\phi = 0$  ( $Z_2$  unbroken),  $\phi = \pm v$  ( $Z_2$  broken)

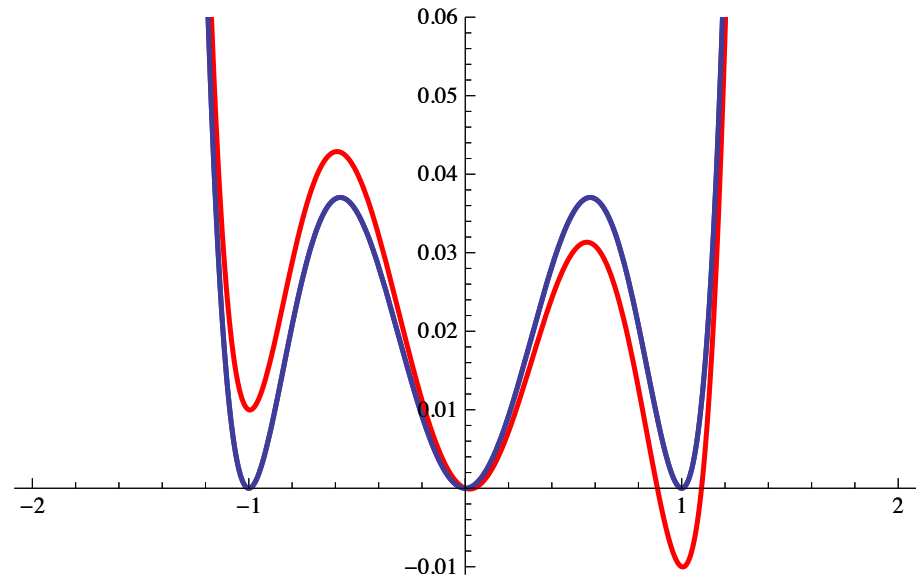
However: always  $\langle \phi \rangle = 0$  because of the invariance theorem!

Now recall how to study SSB:



Now recall how to study SSB:

- turn on seed  $S_{\text{seed}} = -\epsilon\phi$
- then take  $V \rightarrow \infty$
- only then take  $\epsilon \rightarrow 0$



seed breaks bBRST and  $Z_2$   
and selects minimum  $\phi = v$ , dominates saddlepoint approx.

Find:  $Z_v = 1$  to all orders in  $\lambda$

$$\langle \phi \rangle_v = v \left( 1 - \frac{3\lambda^2}{4v^6} + \dots \right) \text{ breaks } Z_2 \text{ but not bBRST}$$

For bBRST to be broken, need  $\langle sX \rangle_v \neq 0$  for some  $X$ , does not happen

But  $\langle \phi \rangle \neq 0$  and non-trivial:  $Z_2$  can be, and is broken!



Back to reduced model:  $S_{\text{seed}} = -\text{tr} (h\tau_3 \phi^\dagger \tau_3 \phi)$

breaks  $SU(2)_R \rightarrow U(1)_R$  and eBRST symmetries, invariant under  $U(1)_{\text{gauge}}$

- invariance theorem does not apply as long as  $h \neq 0$
- whether it applies after  $V \rightarrow \infty$  and  $h \rightarrow 0$  is a **dynamical** question!

Integrate out ghosts in  $1/\tilde{g}^2$  expansion, apply mean field to resulting  $S_{\text{eff}}(\phi)$  :

- 1<sup>st</sup> order phase transition at  $\tilde{g} = \tilde{g}_c$  with  $\langle \phi^\dagger \tau_3 \phi \rangle \begin{cases} = 0, & \tilde{g} > \tilde{g}_c \\ \neq 0, & \tilde{g} < \tilde{g}_c \end{cases}$
  - breaks  $SU(2)_R \rightarrow U(1)_R$ , implies **massive**  $W$ , **massless photon!**
  - fate of eBRST: don't know! But (1)  $\phi^\dagger \tau_3 \phi \neq s(\text{anything})$
- (2) effective mass term  $S_m = m^2 \int d^4x \text{tr} (\frac{1}{2} W_\mu^2 + \bar{C}C)$  is eBRST invariant

## Phase diagram

**A** confining phase, mass gap

**B** Higgs phase, **no** mass gap, massless photon

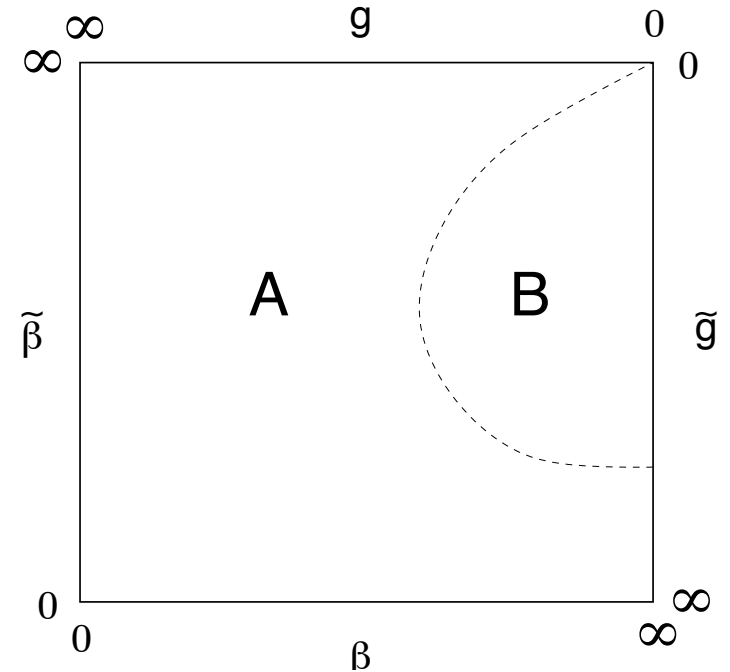
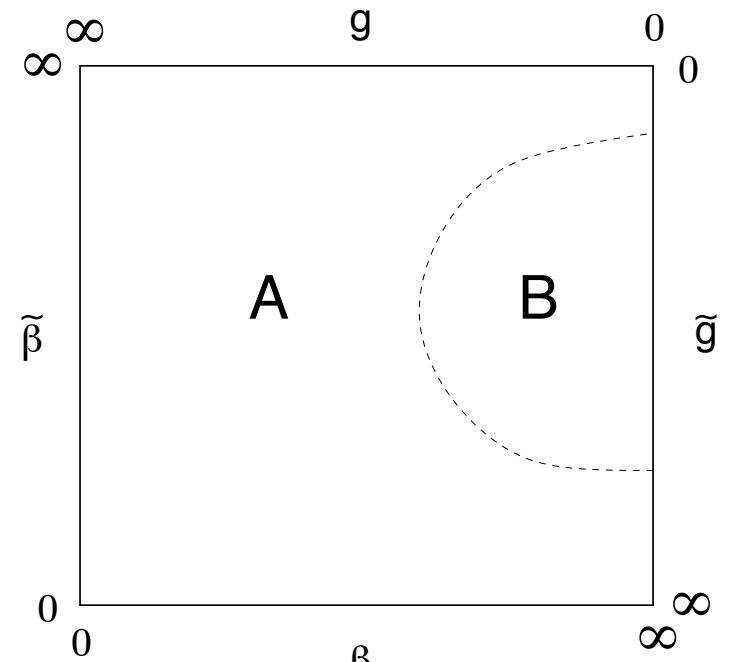
clear phase separation also in full theory

boundaries:

$\tilde{g} \rightarrow \infty$  : gf sector decouples  $\Rightarrow$  confinement

$\tilde{g} \rightarrow 0$  : 4-ghost term unimportant  $\Rightarrow$  conf.

$g \rightarrow \infty$  : like analysis gives confinement



## Phase diagram

upper diagram: **B** is lattice artifact

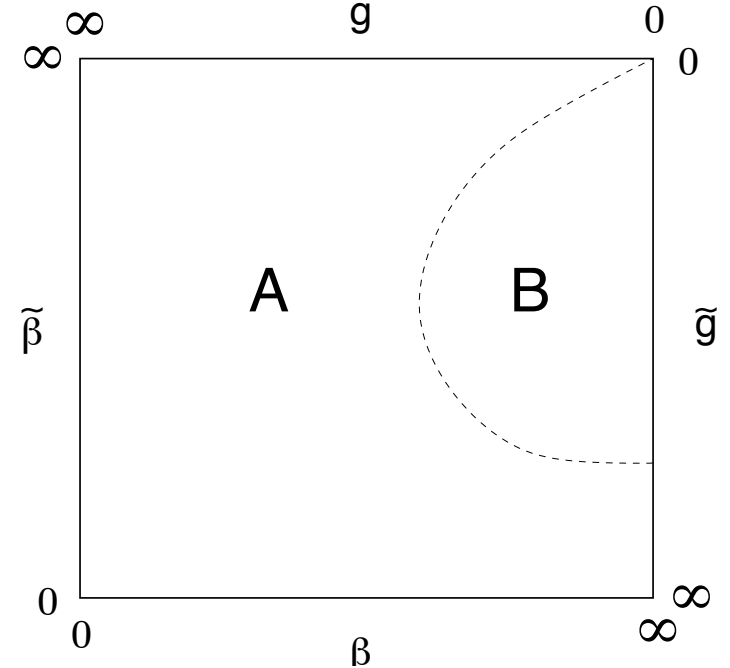
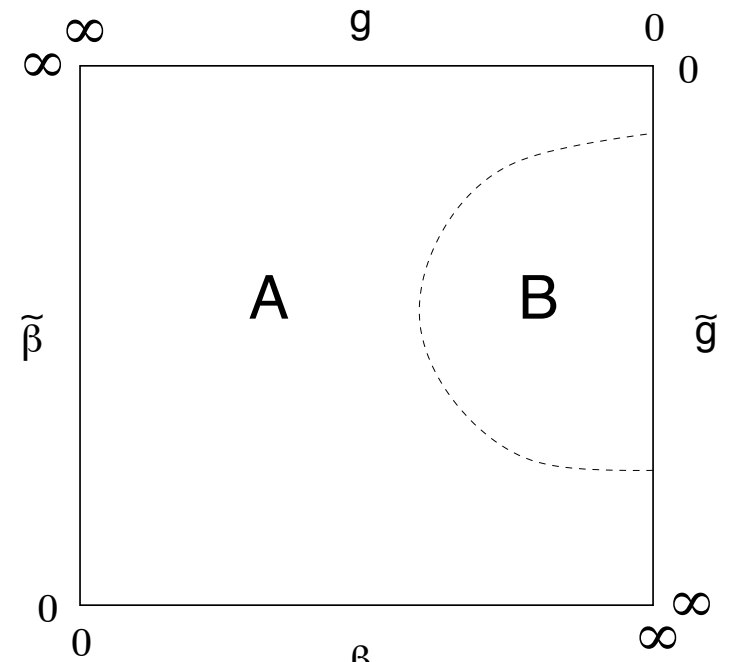
lower diagram: **A** and **B** contain  
continuum limit  
(at point  $g = \tilde{g} = 0$ )

one-loop RG analysis: (MG & Shamir, 06)

associate a scale  $\Lambda$  with  $g$ ,  $\tilde{\Lambda}$  with  $\tilde{g}$

$\tilde{\Lambda} \approx \Lambda$ , only one scale, confining?

$\tilde{\Lambda} \gg \Lambda$ ,  $\tilde{\Lambda}$  dominates,  $m_W \sim g\tilde{\Lambda}$ ?



## Questions and conclusions

- 1) Does the new phase exist? Numerical
- 2) Does it extend to  $g = \tilde{g} = 0$ ? Small volume, large  $N$
- 3) Is the continuum limit unitary? Does eBRST remain unbroken?
- 4) What distinguishes two phases microscopically in full theory?  
In full theory seed should break eBRST (not  $SU(2)_R$ ), biases sum over Gribov copies
  - Scenario consistent, SSB can occur in a topological field theory
  - If SSB occurs in reduced model, Higgs mechanism unavoidable