

# Excited States from the Stochastic LapH Method

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# Outline

- goals
  - comprehensive survey of energy spectrum of QCD stationary states in a finite volume
  - hadron scattering phase shifts, decay widths, matrix elements
- extracting excited-state energies
- single-hadron operators
- multi-hadron operators
- the stochastic LapH method
- first results in  $\rho$ -channel:  $I = 1, S = 0, T_{1u}^+$ 
  - used  $56 \times 56$  matrix of correlators
  - 12 single-hadron operators
  - 17 “ $\pi\pi$ ” operators
  - 14 “ $\eta\pi$ ” operators, 3 “ $\phi\pi$ ” operators
  - 10 “ $K\bar{K}$ ” operators
- preliminary results using  $59 \times 59$  matrix of correlators in the bosonic  $I = \frac{1}{2}, S = 1, T_{1u}$

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  - Athena+Kraken at NICS
  - Ranger+Stampede at TACC

# Excited states from correlation matrices

- extract excited energies from matrices of temporal correlations
- $N \times N$  Hermitian correlation matrix  $C_{ij}(t_F - t_0) = \langle 0 | O_i(t_F) \bar{O}_j(t_0) | 0 \rangle$
- $N$  principal correlators  $\lambda_\alpha(t, \tau_0)$  are eigenvalues of

$$C(\tau_0)^{-1/2} C(t) C(\tau_0)^{-1/2}$$

- large time separation:  $\lim_{t \rightarrow \infty} \lambda_\alpha(t, \tau_0) = e^{-(t-\tau_0)E_\alpha}$
- $N$  principal effective masses

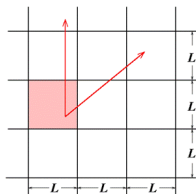
$$m_\alpha^{\text{eff}}(t) = \ln \left( \frac{\lambda_\alpha(t, \tau_0)}{\lambda_\alpha(t+1, \tau_0)} \right)$$

tend to  $N$  lowest-lying stationary state energies in a channel

- extracting energy of level  $\alpha$  requires careful consideration of all lower-lying and nearby levels
  - multi-hadron states below most resonances

# Quantum numbers in toroidal box

- periodic boundary conditions in cubic box
  - not all directions equivalent  $\Rightarrow$  using  $J^{PC}$  is wrong!!



- label stationary states of QCD in a periodic box using irreps of cubic space group **even in continuum limit**
  - zero momentum states: little group  $O_h$   
 $A_{1a}, A_{2ga}, E_a, T_{1a}, T_{2a}, G_{1a}, G_{2a}, H_a, a = g, h$
  - on-axis momenta: little group  $C_{4v}$   
 $A_1, A_2, B_1, B_2, E, G_1, G_2$
  - planar-diagonal momenta: little group  $C_{2v}$   
 $A_1, A_2, B_1, B_2, G_1, G_2$
  - cubic-diagonal momenta: little group  $C_{3v}$   
 $A_1, A_2, E, F_1, F_2, G$
- include  $G$  parity in some meson sectors (superscript  $+$  or  $-$ )

# Building blocks for single-hadron operators

- building blocks: covariantly-displaced LapH-smearing quark fields
- stout links  $\tilde{U}_j(x)$
- Laplacian-Heaviside (LapH) smeared quark fields

$$\tilde{\psi}_{a\alpha}(x) = \mathcal{S}_{ab}(x, y) \psi_{b\alpha}(y), \quad \mathcal{S} = \Theta \left( \sigma_s^2 + \tilde{\Delta} \right)$$

- 3d gauge-covariant Laplacian  $\tilde{\Delta}$  in terms of  $\tilde{U}$
- displaced quark fields:

$$q_{a\alpha j}^A = D^{(j)} \tilde{\psi}_{a\alpha}^{(A)}, \quad \bar{q}_{a\alpha j}^A = \tilde{\bar{\psi}}_{a\alpha}^{(A)} \gamma_4 D^{(j)\dagger}$$

- displacement  $D^{(j)}$  is product of smeared links:

$$D^{(j)}(x, x') = \tilde{U}_{j_1}(x) \tilde{U}_{j_2}(x+d_2) \tilde{U}_{j_3}(x+d_3) \dots \tilde{U}_{j_p}(x+d_p) \delta_{x', x+d_{p+1}}$$

- to good approximation, LapH smearing operator is

$$\mathcal{S} = V_s V_s^\dagger$$

- columns of matrix  $V_s$  are eigenvectors of  $\tilde{\Delta}$

# Extended operators for single hadrons

- quark displacements build up orbital, radial structure

Meson configurations



Baryon configurations



$$\bar{\Phi}_{\alpha\beta}^{AB}(t) = \sum_{\mathbf{x}} e^{i\mathbf{p}\cdot(\mathbf{x}+\frac{1}{2}(d_\alpha+d_\beta))} \delta_{ab} \bar{q}_{b\beta}^B(\mathbf{x}, t) q_{a\alpha}^A(\mathbf{x}, t)$$

$$\bar{\Phi}_{\alpha\beta\gamma}^{ABC}(\mathbf{p}, t) = \sum_{\mathbf{x}} e^{i\mathbf{p}\cdot\mathbf{x}} \varepsilon_{abc} \bar{q}_{c\gamma}^C(\mathbf{x}, t) \bar{q}_{b\beta}^B(\mathbf{x}, t) \bar{q}_{a\alpha}^A(\mathbf{x}, t)$$

- group-theory projections onto irreps of lattice symmetry group

$$\bar{M}_l(t) = c_{\alpha\beta}^{(l)*} \bar{\Phi}_{\alpha\beta}^{AB}(t) \quad \bar{B}_l(t) = c_{\alpha\beta\gamma}^{(l)*} \bar{\Phi}_{\alpha\beta\gamma}^{ABC}(t)$$

- definite momentum  $\mathbf{p}$ , irreps of little group of  $\mathbf{p}$

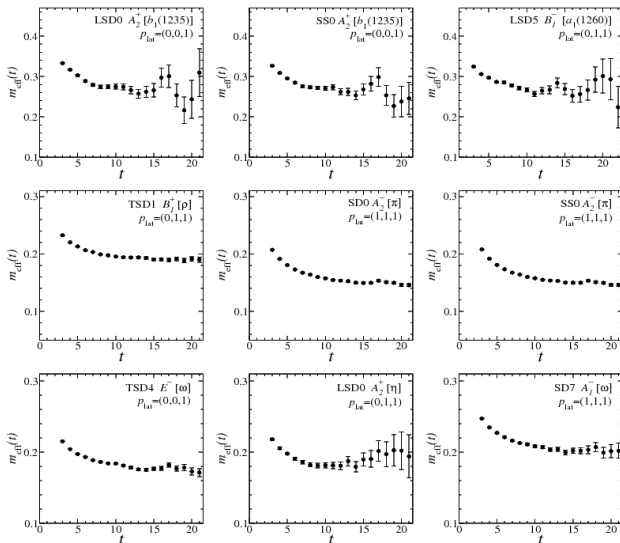
# Ensembles and run parameters

- plan to use three Monte Carlo ensembles
  - $(32^3|240)$ : 412 configs  $32^3 \times 256$ ,  $m_\pi \approx 240$  MeV,  $m_\pi L \sim 4.4$
  - $(24^3|240)$ : 584 configs  $24^3 \times 128$ ,  $m_\pi \approx 240$  MeV,  $m_\pi L \sim 3.3$
  - $(24^3|390)$ : 551 configs  $24^3 \times 128$ ,  $m_\pi \approx 390$  MeV,  $m_\pi L \sim 5.7$
- anisotropic improved gluon action, clover quarks (stout links)
- QCD coupling  $\beta = 1.5$  such that  $a_s \sim 0.12$  fm,  $a_t \sim 0.035$  fm
- strange quark mass  $m_s = -0.0743$  nearly physical (using kaon)
- work in  $m_u = m_d$  limit so  $SU(2)$  isospin exact
- generated using RHMC, configs separated by 20 trajectories
  
- stout-link smearing in operators  $\xi = 0.10$  and  $n_\xi = 10$
- LapH smearing cutoff  $\sigma_s^2 = 0.33$  such that
  - $N_v = 112$  for  $24^3$  lattices
  - $N_v = 264$  for  $32^3$  lattices
- source times:
  - 4 widely-separated  $t_0$  values on  $24^3$
  - 8  $t_0$  values used on  $32^3$  lattice



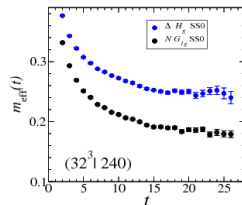
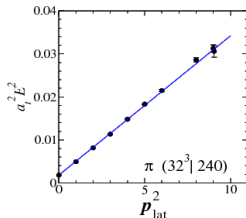
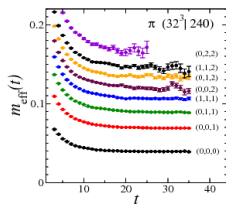
# Testing single-hadron operators

- meson effective masses on  $(24^3|390)$  ensemble



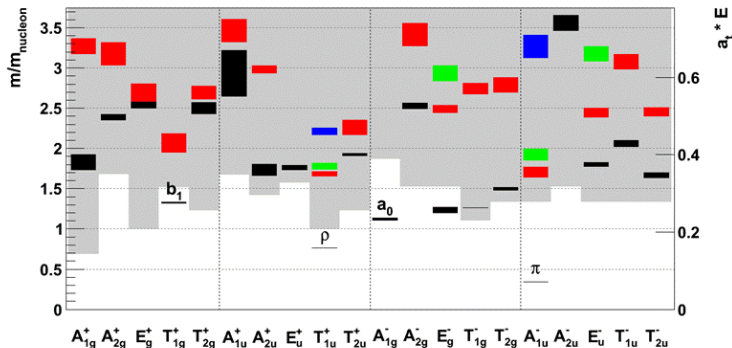
# Testing single-hadron operators (con't)

- (left and center) pion energies on  $(32^3|240)$  ensemble
- (right) nucleon and  $\Delta$  baryons



# Isvector meson spectrum: a first glance

- first glance at isovector meson spectrum
- single-hadron operators only, 170 configs of  $(24^3|390)$  ensemble
- shaded region shows where multi-hadron states possible



- multi-hadron operators could be crucial!!

# Two-hadron operators

- our approach: superposition of products of single-hadron operators of definite momenta

$$C_{\mathbf{p}_a \lambda_a; \mathbf{p}_b \lambda_b}^{I_{3a} I_{3b}} B_{\mathbf{p}_a \Lambda_a \lambda_a i_a}^{I_a I_{3a} S_a} B_{\mathbf{p}_b \Lambda_b \lambda_b i_b}^{I_b I_{3b} S_b}$$

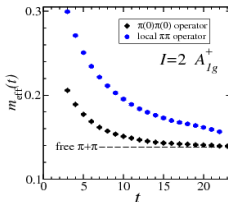
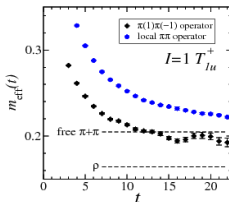
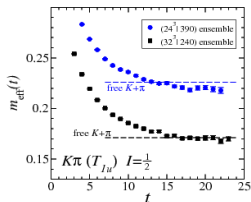
- fixed total momentum  $\mathbf{p} = \mathbf{p}_a + \mathbf{p}_b$ , fixed  $\Lambda_a, i_a, \Lambda_b, i_b$
- group-theory projections onto little group of  $\mathbf{p}$  and isospin irreps
- restrict attention to certain classes of momentum directions
  - on axis  $\pm \hat{x}, \pm \hat{y}, \pm \hat{z}$
  - planar diagonal  $\pm \hat{x} \pm \hat{y}, \pm \hat{x} \pm \hat{z}, \pm \hat{y} \pm \hat{z}$
  - cubic diagonal  $\pm \hat{x} \pm \hat{y} \pm \hat{z}$
- crucial to know and fix all phases of single-hadron operators for all momenta
  - each class, choose **reference** direction  $\mathbf{p}_{\text{ref}}$
  - each  $\mathbf{p}$ , select one **reference** rotation  $R_{\text{ref}}^{\mathbf{p}}$  that transforms  $\mathbf{p}_{\text{ref}}$  into  $\mathbf{p}$
- efficient creating large numbers of two-hadron operators
- generalizes to three, four, ... hadron operators

# Testing our two-meson operators

- (left)  $K\pi$  operator in  $T_{1u}$   $I = \frac{1}{2}$  channels
- (center and right) comparison with localized  $\pi\pi$  operators

$$(\pi\pi)^{A_{1g}^+}(t) = \sum_{\mathbf{x}} \pi^+(\mathbf{x}, t) \pi^+(\mathbf{x}, t),$$

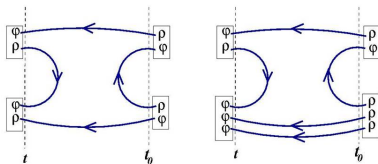
$$(\pi\pi)^{T_{1u}^+}(t) = \sum_{\mathbf{x}, k=1,2,3} \left\{ \pi^+(\mathbf{x}, t) \Delta_k \pi^0(\mathbf{x}, t) - \pi^0(\mathbf{x}, t) \Delta_k \pi^+(\mathbf{x}, t) \right\}$$



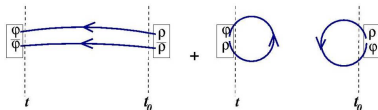
- less contamination from higher states in our  $\pi\pi$  operators

# Quark line diagrams

- temporal correlations involving our two-hadron operators need
  - slice-to-slice** quark lines (from all spatial sites on a time slice to all spatial sites on another time slice)
  - sink-to-sink** quark lines



- isoscalar mesons also require **sink-to-sink** quark lines



- solution: the stochastic LapH method!

# Stochastic estimation of quark propagators

- do not need exact inverse of Dirac matrix  $K[U]$
- use noise vectors  $\eta$  satisfying  $E(\eta_i) = 0$  and  $E(\eta_i \eta_j^*) = \delta_{ij}$
- $Z_4$  noise is used  $\{1, i, -1, -i\}$
- solve  $K[U]X^{(r)} = \eta^{(r)}$  for each of  $N_R$  noise vectors  $\eta^{(r)}$ , then obtain a Monte Carlo estimate of all elements of  $K^{-1}$

$$K_{ij}^{-1} \approx \frac{1}{N_R} \sum_{r=1}^{N_R} X_i^{(r)} \eta_j^{(r)*}$$

- variance reduction using noise dilution
- dilution introduces projectors

$$P^{(a)} P^{(b)} = \delta^{ab} P^{(a)}, \quad \sum_a P^{(a)} = 1, \quad P^{(a)\dagger} = P^{(a)}$$

- define

$$\eta^{[a]} = P^{(a)} \eta, \quad X^{[a]} = K^{-1} \eta^{[a]}$$

to obtain Monte Carlo estimate with drastically reduced variance

$$K_{ij}^{-1} \approx \frac{1}{N_R} \sum_{r=1}^{N_R} \sum_a X_i^{(r)[a]} \eta_j^{(r)[a]*}$$

# Stochastic LapH method

- introduce  $Z_N$  noise in the LapH subspace

$$\rho_{\alpha k}(t), \quad t = \text{time}, \alpha = \text{spin}, k = \text{eigenvector number}$$

- four dilution schemes:

$$P_{ij}^{(a)} = \delta_{ij} \quad a = 0 \quad (\text{none})$$

$$P_{ij}^{(a)} = \delta_{ij} \delta_{ai} \quad a = 0, 1, \dots, N-1 \quad (\text{full})$$

$$P_{ij}^{(a)} = \delta_{ij} \delta_{a, Ki/N} \quad a = 0, 1, \dots, K-1 \quad (\text{interlace-}K)$$

$$P_{ij}^{(a)} = \delta_{ij} \delta_{a, i \bmod k} \quad a = 0, 1, \dots, K-1 \quad (\text{block-}K)$$



- apply dilutions to
  - time indices (full for fixed src, interlace-16 for relative src)
  - spin indices (full)
  - LapH eigenvector indices (interlace-8 mesons, interlace-4 baryons)



# Quark line estimates in stochastic LapH

- each of our quark lines is the product of matrices

$$Q = D^{(j)} S K^{-1} \gamma_4 S D^{(k)\dagger}$$

- displaced-smeared-diluted quark source and quark sink vectors:

$$\varrho^{[b]}(\rho) = D^{(j)} V_s P^{(b)} \rho$$

$$\varphi^{[b]}(\rho) = D^{(j)} S K^{-1} \gamma_4 V_s P^{(b)} \rho$$

- estimate in stochastic LapH by  $(A, B$  flavor,  $u, v$  compound: space, time, color, spin, displacement type)

$$Q_{uv}^{(AB)} \approx \frac{1}{N_R} \delta_{AB} \sum_{r=1}^{N_R} \sum_b \varphi_u^{[b]}(\rho^r) \varrho_v^{[b]}(\rho^r)^*$$

- occasionally use  $\gamma_5$ -Hermiticity to switch source and sink

$$Q_{uv}^{(AB)} \approx \frac{1}{N_R} \delta_{AB} \sum_{r=1}^{N_R} \sum_b \bar{\varrho}_u^{[b]}(\rho^r) \bar{\varphi}_v^{[b]}(\rho^r)^*$$

defining  $\bar{\varrho}(\rho) = -\gamma_5 \gamma_4 \varrho(\rho)$  and  $\bar{\varphi}(\rho) = \gamma_5 \gamma_4 \varphi(\rho)$

# Source-sink factorization in stochastic LapH

- baryon correlator has form

$$C_{\bar{l}l} = c_{ijk}^{(l)} c_{\bar{i}\bar{j}\bar{k}}^{(\bar{l})*} \mathcal{Q}_{\bar{i}\bar{i}}^A \mathcal{Q}_{\bar{j}\bar{j}}^B \mathcal{Q}_{\bar{k}\bar{k}}^C$$

- stochastic estimate with dilution

$$C_{\bar{l}l} \approx \frac{1}{N_R} \sum_r \sum_{d_A d_B d_C} c_{ijk}^{(l)} c_{\bar{i}\bar{j}\bar{k}}^{(\bar{l})*} \left( \varphi_i^{(Ar)[d_A]} \varrho_{\bar{i}}^{(Ar)[d_A]*} \right) \\ \times \left( \varphi_j^{(Br)[d_B]} \varrho_{\bar{j}}^{(Br)[d_B]*} \right) \left( \varphi_k^{(Cr)[d_C]} \varrho_{\bar{k}}^{(Cr)[d_C]*} \right)$$

- define baryon source and sink

$$\mathcal{B}_l^{(r)[d_A d_B d_C]}(\varphi^A, \varphi^B, \varphi^C) = c_{ijk}^{(l)} \varphi_i^{(Ar)[d_A]} \varphi_j^{(Br)[d_B]} \varphi_k^{(Cr)[d_C]} \\ \mathcal{B}_{\bar{l}}^{(r)[d_A d_B d_C]}(\varrho^A, \varrho^B, \varrho^C) = c_{ijk}^{(l)} \varrho_i^{(Ar)[d_A]} \varrho_j^{(Br)[d_B]} \varrho_k^{(Cr)[d_C]}$$

- correlator is dot product of source vector with sink vector

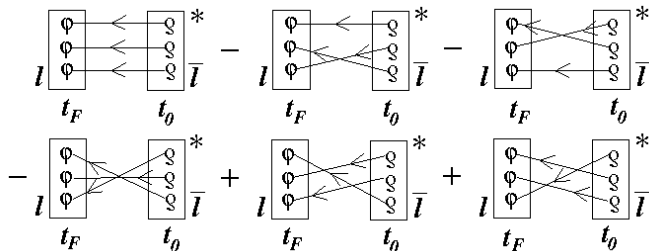
$$C_{\bar{l}l} \approx \frac{1}{N_R} \sum_r \sum_{d_A d_B d_C} \mathcal{B}_l^{(r)[d_A d_B d_C]}(\varphi^A, \varphi^B, \varphi^C) \mathcal{B}_{\bar{l}}^{(r)[d_A d_B d_C]}(\varrho^A, \varrho^B, \varrho^C)^*$$

# Correlators and quark line diagrams

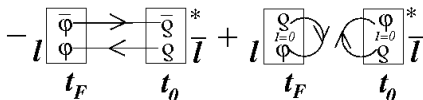
- baryon correlator

$$C_{\bar{l}l} \approx \frac{1}{N_R} \sum_r \sum_{d_A d_B d_C} \mathcal{B}_l^{(r)[d_A d_B d_C]}(\varphi^A, \varphi^B, \varphi^C) \mathcal{B}_{\bar{l}}^{(r)[d_A d_B d_C]}(\varrho^A, \varrho^B, \varrho^C)^*$$

- express diagrammatically

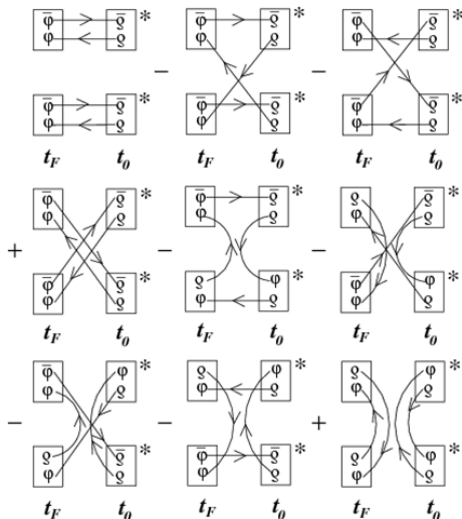


- meson correlator



# More complicated correlators

- two-meson to two-meson correlators (non isoscalar mesons)

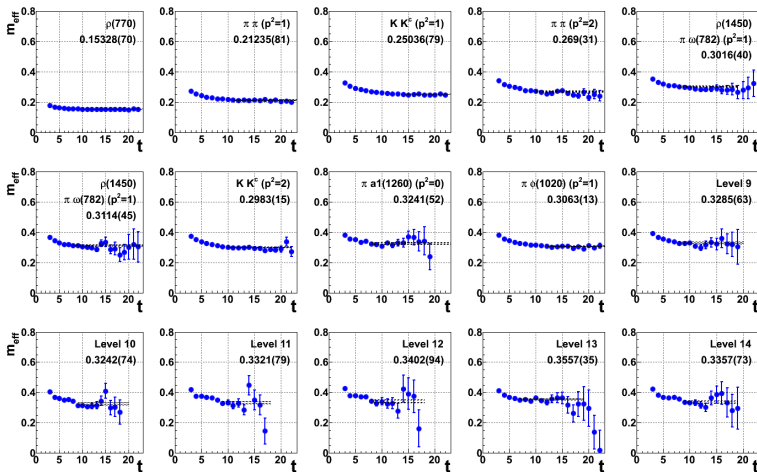


# First results

- first part of summer spent testing `last_laph` software
  - testing of all flavor channels for single and two-mesons completed
  - comparison of results from `last_laph` with independent code
  - myriad of orthogonality tests
- first focus on the resonance-rich  $\rho$ -channel:  $I = 1, S = 0, T_{1u}^+$
- experiment:  $\rho(770), \rho(1450), \rho(1570), \rho_3(1690), \rho(1700)$ 
  - interpretation of these states still controversial
- first results:  $56 \times 56$  matrix of correlators ( $24^3|390$ ) ensemble
  - 12 single-hadron (quark-antiquark) operators
  - 17 " $\pi\pi$ " operators
  - 14 " $\eta\pi$ " operators, 3 " $\phi\pi$ " operators
  - 10 " $K\bar{K}$ " operators
- our results are only weeks old!
- good condition number, diagonalization using  $\tau_0 = 4$
- still finalizing analysis code

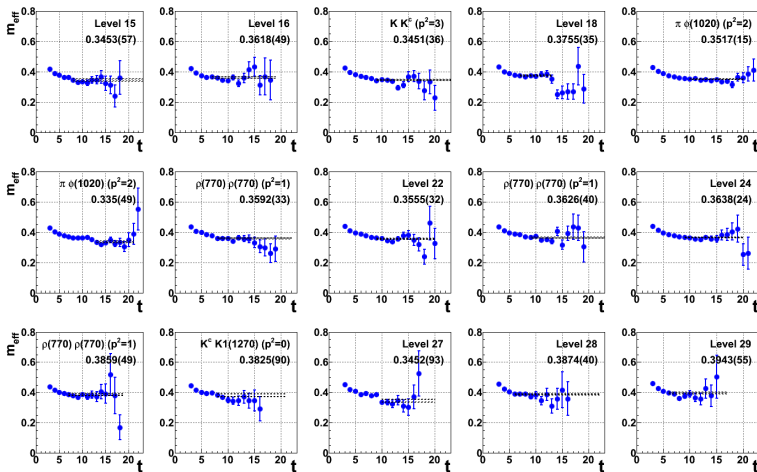
# Bosonic $I = 1, S = 0, T_{1u}^+$ channel

- “principal” effective masses



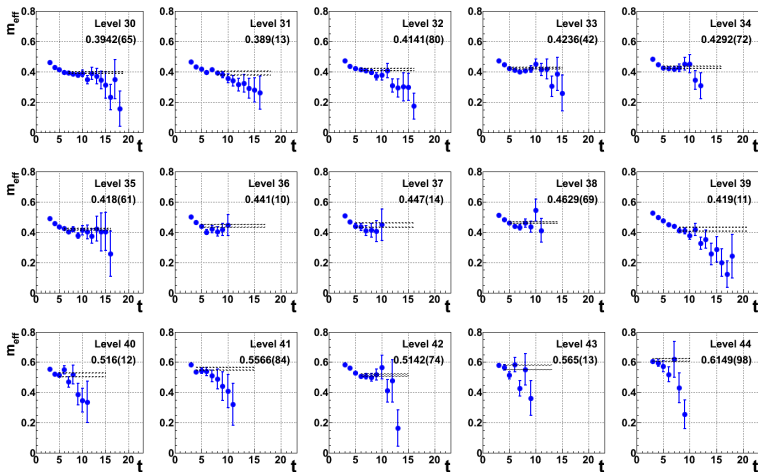
# Bosonic $I = 1, S = 0, T_{1u}^+$ channel

- more “principal” effective masses



# Bosonic $I = 1, S = 0, T_{1u}^+$ channel

- even more “principal” effective masses





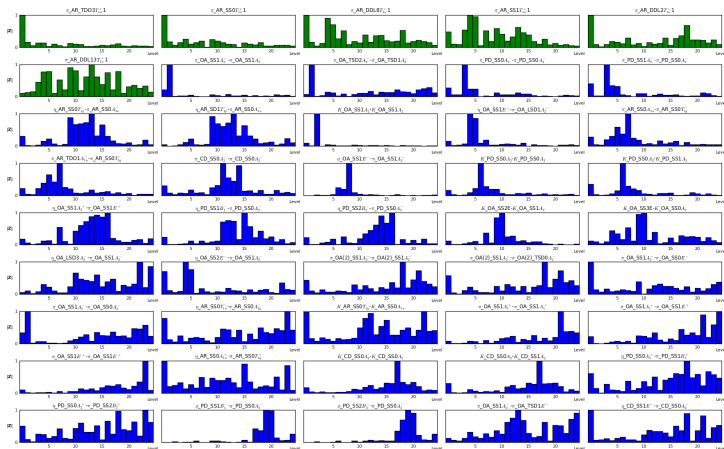
# Bosonic $I = 1, S = 0, T_{1u}^+$ channel

- spectrum discrete so two-point functions have form

$$C_{ij}(t) = \sum_n Z_i^{(n)} Z_j^{(n)*} e^{-E_n t}$$

$$Z_j^{(n)*} = \langle n | \bar{O}_j | 0 \rangle, \quad Z_j^{(n)} = \langle 0 | O_j | n \rangle$$

- preliminary estimates of  $Z$  overlaps for various operators:



# Issues

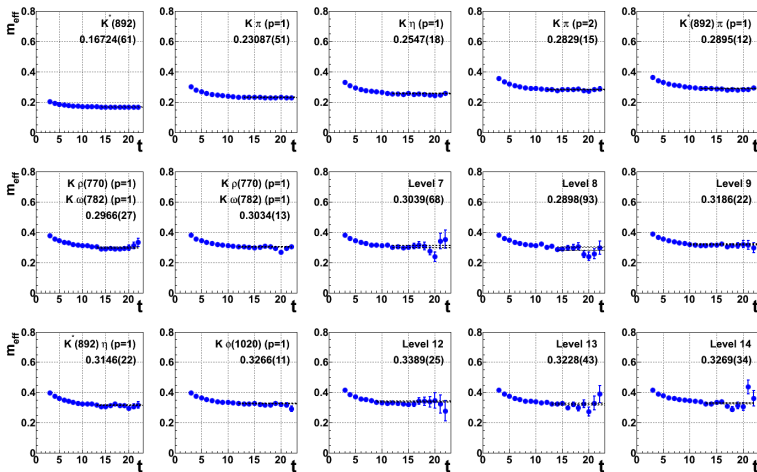
- next challenge: identifying the levels
- must address presence of 3 and 4 meson states
- must address scalar particles in spectrum
  - vacuum subtractions
  - neglect due to OZI suppression?

## Bosonic $I = \frac{1}{2}$ , $S = 1$ , $T_{1u}$ channel

- also have results for the kaon channel:  $I = \frac{1}{2}$ ,  $S = 1$ ,  $T_{1u}$
- experiment:  $K^*(892)$ ,  $K^*(1410)$ ,  $K^*(1680)$ ,  $K_3^*(1780)$
- first results:  $59 \times 59$  matrix of correlators ( $24^3|390$ ) ensemble
  - 10 single-hadron (quark-antiquark) operators
  - 25 " $K\pi$ " operators
  - 12 " $K\eta$ " operators, 12 " $K\phi$ " operators

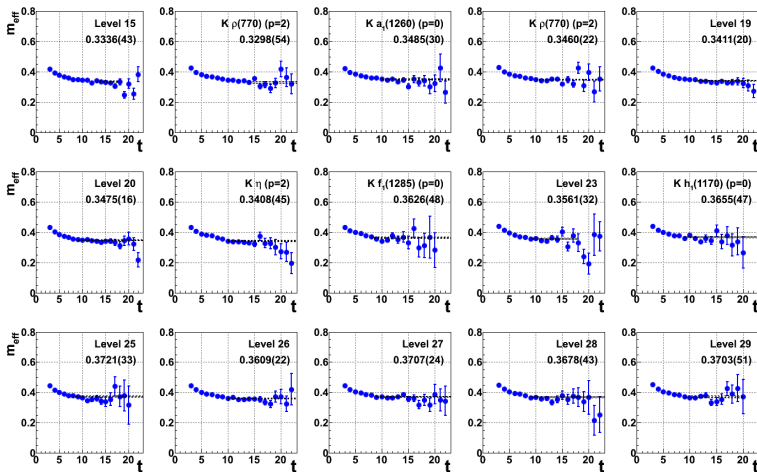
# Bosonic $I = \frac{1}{2}$ , $S = 1$ , $T_{1u}$ channel

- “principal” effective masses



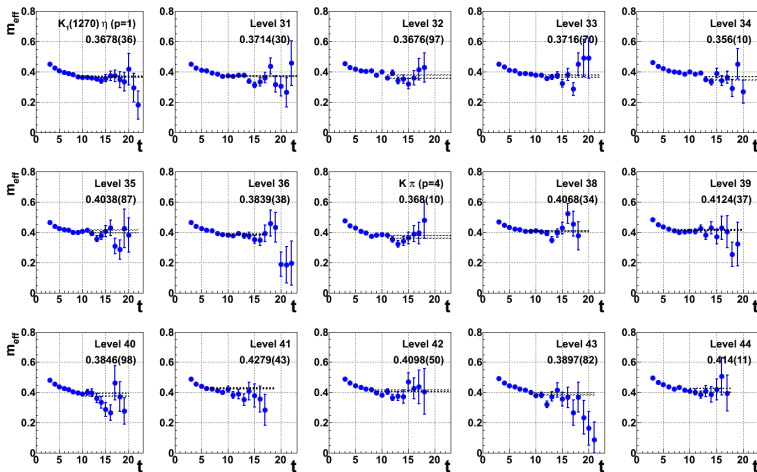
# Bosonic $I = \frac{1}{2}$ , $S = 1$ , $T_{1u}$ channel

- more “principal” effective masses



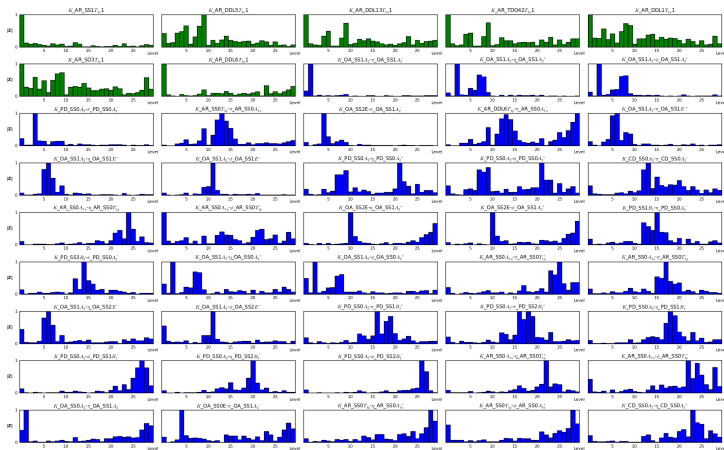
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- even more “principal” effective masses



# Bosonic $I = \frac{1}{2}$ , $S = 1$ , $T_{1u}$ channel

- preliminary estimates of  $Z$  overlaps for various operators:







## Conclusion and future work

- goal: comprehensive survey of energy spectrum of QCD stationary states in a finite volume
- stochastic LapH method works very well
  - allows evaluation of all needed quark-line diagrams
  - source-sink factorization facilitates large number of operators
  - `last_laph` software completed for evaluating correlators
- showed first results in  $\rho$ -channel:  $I = 1, S = 0, T_{1u}^+$  using  $56 \times 56$  matrix of correlators
- preliminary results using  $59 \times 59$  matrix of correlators in the bosonic  $I = \frac{1}{2}, S = 1, T_{1u}$
- large number of channels to study over the next year!
- first peek: results on  $(32^3|240)$  ensemble look even better so far!!
- investigations of various scattering phase shifts also planned for near future



# References

-  S. Basak et al., *Group-theoretical construction of extended baryon operators in lattice QCD*, Phys. Rev. D **72**, 094506 (2005).
-  S. Basak et al., *Lattice QCD determination of patterns of excited baryon states*, Phys. Rev. D **76**, 074504 (2007).
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