# Extended Mean Field Study of Complex $\phi^4$ -Theory

# at Finite Density and Temperature

Oscar Åkerlund (ETH Zurich) Advisor: Philippe de Forcrand (ETHZ & CERN) Lattice 2013, Mainz August 2, 2013

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• More matter than antimatter  $\rightarrow$  chemical potential

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# Motivation

- More matter than antimatter  $\rightarrow$  chemical potential
- Temperature is not zero in the real world

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# Motivation

- More matter than antimatter  $\rightarrow$  chemical potential
- Temperature is not zero in the real world
- Sign problem
- Toy models give valuable insight and experience before applying new methods to QCD

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In Euclidian space the Lagrangian of complex  $\varphi^4$ -theory reads,

$$\mathcal{L}[\varphi(x)] = |\partial_
u \varphi(x)|^2 + m_0^2 |arphi(x)|^2 + \lambda |arphi(x)|^4.$$

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Invariant under global U(1) transformation of the fields:

$$\varphi(x) \to \varphi(x)e^{i\theta}, \ \varphi^*(x) \to \varphi^*(x)e^{-i\theta}$$

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Invariant under global U(1) transformation of the fields:

$$\varphi(x) o \varphi(x) e^{i\theta}, \ \varphi^*(x) o \varphi^*(x) e^{-i\theta}.$$

Leads to conserved Noether current and charge,

$$j_{\nu} = i(\varphi^*(x)\partial_{\nu}\varphi(x) - \partial_{\nu}\varphi^*(x)\varphi(x)), \ \ Q = \int d^3\vec{x} j_0(\vec{x})$$

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Chemical potential,  $\mu$  couples to conserved charge, Q. After discretizing,  $x = a(n_x, n_y, n_z, n_t)$ , we obtain the standard lattice action:

$$S = \sum_{x} \left( \eta |\varphi_{x}|^{2} + \lambda |\varphi_{x}|^{4} - \sum_{\nu=1}^{4} \left[ e^{-\mu \delta_{\nu,t}} \varphi_{x}^{*} \varphi_{x+\hat{\nu}} + e^{\mu \delta_{\nu,t}} \varphi_{x}^{*} \varphi_{x-\hat{\nu}} \right] \right),$$
  
with  $\eta = m_{0}^{2} + 8.$ 

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Motivation  $\varphi^4$ -Theory Mean Field Theory Extended Mean Field Theory Results Outlook Chemical potential,  $\mu$  couples to conserved charge, Q.

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Sign problem!

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Motivation Mean Field Theory Extended Mean Field Theory Let us analyze the model using mean field theory.  $S = \sum_{x} \left( \eta |\varphi_{x}|^{2} + \lambda |\varphi_{x}|^{4} - \sum_{\nu=1}^{4} \left[ e^{-\mu \delta_{\nu,t}} \varphi_{x}^{*} \varphi_{x+\hat{\nu}} + e^{\mu \delta_{\nu,t}} \varphi_{x}^{*} \varphi_{x-\hat{\nu}} \right] \right)$ 

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Results

Outlook

Motivation $\varphi^4$ -TheoryMean Field TheoryExtended Mean Field TheoryResultsOutlookLet us analyze the model using mean field theory.

$$S_{\rm MF} = \eta |\varphi_0|^2 + \lambda |\varphi_0|^4 - \sum_{\nu=1}^4 \left[ e^{\pm \mu \delta_{\nu,t}} \varphi_0^* \phi + e^{\pm \mu \delta_{\nu,t}} \varphi_0 \phi \right]$$

•  $\varphi_{x\neq 0} = \phi \in \mathbb{R}$ 

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Let us analyze the model using mean field theory.

$$S_{\mathsf{MF}} = \eta |arphi_0|^2 + \lambda |arphi_0|^4 - 4\mathsf{Re}[arphi_0]\phi(3 + \cosh(\mu))$$

- $\varphi_{x\neq 0} = \phi \in \mathbb{R}$
- Action is purely real.

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Let us analyze the model using mean field theory.

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- $\varphi_{x\neq 0} = \phi \in \mathbb{R}$
- Action is purely real.
- Second order phase transition at critical chemical potential,

$$\mathbf{3} + \cosh \mu_{c}(\eta, \lambda) = \frac{\sqrt{\lambda}}{2\frac{\mathsf{Exp}(-K^{2})}{\sqrt{\pi}\mathsf{Erfc}(K)} - 2K},$$

$$K = \eta / \left( 2\sqrt{\lambda} \right).$$

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 Only qualitatively correct answer, numerical values are not accurate.

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- Only local variables are accessible, we get no information on for example the Green's function.

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- No dependence on system size, i.e. we are restricted to zero temperature.

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- Only qualitatively correct answer, numerical values are not accurate.
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- No dependence on system size, i.e. we are restricted to zero temperature.
- We can do better!

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## Extended Mean Field Theory (EMFT)



 $(\kappa, \lambda)$ -phase diagram of real  $\varphi^4$ -theory in four dimensions<sup>1</sup>.

<sup>1</sup>O. Akerlund, P. de Forcrand, A. Georges and P. Werner, hep-lat/1305.7136

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# **EMFT** equations

Again focus on a single lattice site (x = 0),

$$S = \eta |\varphi_0|^2 + \lambda |\varphi_0|^4 - \sum_{\nu=1}^4 \left[ e^{\mp \mu \delta_{\nu,t}} \varphi_0^* \varphi_{\pm \hat{\nu}} + e^{\pm \mu \delta_{\nu,t}} \varphi_0^* \varphi_{\mp \hat{\nu}} \right] + S_{\text{ext}}$$

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### **EMFT** equations

Again focus on a single lattice site (x = 0),

$$S = \frac{\eta}{2}\vec{\varphi}_{0}^{\dagger}\vec{\varphi}_{0} + \frac{\lambda}{4}(\vec{\varphi}_{0}^{\dagger}\vec{\varphi}_{0})^{2} - \underbrace{\sum_{\nu=1}^{4}\vec{\varphi}_{\pm\hat{\nu}}^{\dagger}E(\pm\mu\delta_{\nu,t})\vec{\varphi}_{0}}_{-\Delta S} + S_{\text{ext}}$$

Introducing,

$$\vec{\varphi}^{\dagger} = (\varphi^*, \varphi), \quad E(x) = \begin{pmatrix} e^{-x} & 0 \\ 0 & e^x \end{pmatrix}, \quad G = \begin{pmatrix} \langle \varphi^* \varphi \rangle_c & \langle \varphi \varphi \rangle_c \\ \langle \varphi^* \varphi^* \rangle_c & \langle \varphi \varphi^* \rangle_c \end{pmatrix}$$

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Expanding  $\vec{\varphi}$  around its (real) mean,

$$ec{arphi}^{\dagger} = \delta ec{arphi}^{\dagger} + (\phi, \phi)$$

yields (up to a constant),

$$S = \frac{\eta}{2} \vec{\varphi}_{0}^{\dagger} \vec{\varphi}_{0} + \frac{\lambda}{4} (\vec{\varphi}_{0}^{\dagger} \vec{\varphi}_{0})^{2} - 2 \vec{\phi}^{\dagger} \vec{\varphi}_{0} (3 + \cosh(\mu))$$
$$- \underbrace{\sum_{\pm \nu} \delta \vec{\varphi}_{\hat{\nu}}^{\dagger} E(\pm \mu \delta_{\nu,t}) \vec{\varphi}_{0}}_{-\delta S} + S_{\text{ext}}$$

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Extended Mean Field Theory

Mean Field Theory

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Formal integration over the field at all lattice sites except the origin results in replacing  $-\delta S$  by its cumulant expansion w.r.t.  $S_{\text{ext}}$ . The lowest nontrivial contribution is,

$$\frac{1}{2} \left\langle \sum_{\pm\nu} \delta \vec{\varphi}_{\hat{\nu}}^{\dagger} E(\pm \mu \delta_{\nu,t}) \delta \vec{\varphi}_{0} \sum_{\pm\rho} \delta \vec{\varphi}_{\hat{\rho}}^{\dagger} E(\pm \mu \delta_{\rho,t}) \delta \vec{\varphi}_{0} \right\rangle_{S_{\text{ext}}} = \frac{1}{2} \delta \vec{\varphi}_{0}^{\dagger} \Delta \delta \vec{\varphi}_{0},$$
  
where  $\Delta = \begin{pmatrix} \Delta_{11} & \Delta_{12} \\ \Delta_{12} & \Delta_{11} \end{pmatrix}$  is a real, symmetric, matrix.

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#### Putting all together we obtain the EMFT action,

$$\begin{split} S_{\mathsf{EMFT}} &= \frac{1}{2} \vec{\varphi}^{\dagger} \left( \eta \mathbf{I} - \Delta \right) \vec{\varphi} + \frac{\lambda}{4} (\vec{\varphi}_{0}^{\dagger} \vec{\varphi}_{0})^{2} \\ &- \vec{\phi}^{\dagger} \vec{\varphi}_{0} (2(3 + \cosh(\mu)) - \Delta_{11} - \Delta_{12}) \end{split}$$

$$\Delta_{22} = \Delta_{11}$$
 ,  $\Delta_{21} = \Delta_{12}$ 

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$$\Delta_{22}=\Delta_{11}$$
 ,  $\Delta_{21}=\Delta_{12}$ 

#### $\phi$ and $\Delta$ are to be self-consistently determined.

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Translation-invariant solution implies

$$\phi = \langle \varphi \rangle_{\mathcal{S}_{\mathsf{EMFT}}}$$

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Translation-invariant solution implies

 $\phi = \langle \varphi \rangle_{\mathcal{S}_{\mathsf{EMFT}}} \, .$ 

- The self-consistency condition for  $\Delta$  is more involved.
  - $Z_{\text{EMFT}} = \int d\varphi \exp(-S_{\text{EMFT}})$  is the generator of all local diagrams (involving  $\varphi_0$ ).

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• 
$$G(\vec{r} = \vec{0}, t = 0) = G_{\text{EMFT}}$$
.

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• 
$$G(\vec{r} = \vec{0}, t = 0) = \int_{-\pi}^{\pi} \frac{\mathrm{d}^4 k}{(2\pi)^4} \widetilde{G}(k)$$

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• 
$$G(\vec{r} = \vec{0}, t = 0) = \int_{-\pi}^{\pi} \frac{\mathrm{d}^4 k}{(2\pi)^4} \widetilde{G}(k)$$

• 
$$\widetilde{G}^{-1}(k) = \widetilde{G}_0^{-1}(k) + \underbrace{\widetilde{\Sigma}(k)}_{\nu} = (\eta - 2\sum_{\nu} \cos(k_{\nu} - i\mu\delta_{\nu,t}))\mathbf{I} + \widetilde{\Sigma}(k)$$

self-energy due to interaction

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• Likewise,  $G_{\mathsf{EMFT}}^{-1} = G_{0,\mathsf{EMFT}}^{-1} + \Sigma_{\mathsf{EMFT}} = \eta \mathbf{I} - \Delta + \Sigma_{\mathsf{EMFT}}$ 

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• Likewise, 
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• Crucial approximation: Neglect *k*-dependence of  $\widetilde{\Sigma}(k)$  and set it equal to  $\Sigma_{\text{EMFT}}$ 

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• Likewise, 
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 Crucial approximation: Neglect k-dependence of Σ(k) and set it equal to Σ<sub>EMFT</sub>

• 
$$\widetilde{G}^{-1}(k) \approx G_{\mathsf{EMFT}}^{-1} + \Delta - 2\sum_{\nu} \cos(k_{\nu} - i\mu\delta_{\nu,t})\mathbf{I}$$

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# **Self-consistency equations**

$$\phi = \langle \varphi \rangle_{S_{\mathsf{EMFT}}}$$
$$G_{\mathsf{EMFT}} = \int \mathrm{d}^4 k [G_{\mathsf{EMFT}}^{-1} + \Delta - 2\sum_{\nu} \cos(k_{\nu} - i\mu \delta_{\nu,t}) \mathbf{I}]^{-1}$$

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$$\begin{split} G_{\mathsf{EMFT}} &= \begin{pmatrix} \langle \varphi^* \varphi \rangle_{\mathcal{S}_{\mathsf{EMFT}}} & \langle \varphi \varphi \rangle_{\mathcal{S}_{\mathsf{EMFT}}} \\ \langle \varphi^* \varphi^* \rangle_{\mathcal{S}_{\mathsf{EMFT}}} & \langle \varphi \varphi^* \rangle_{\mathcal{S}_{\mathsf{EMFT}}} \end{pmatrix} - \vec{\phi} \vec{\phi}^{\dagger} \\ S_{\mathsf{EMFT}} &= \frac{1}{2} \vec{\varphi}^{\dagger} \left( \eta \mathbb{I} - \Delta \right) \vec{\varphi} + \frac{\lambda}{4} (\vec{\varphi}_{0}^{\dagger} \vec{\varphi}_{0})^{2} \\ &- \vec{\phi}^{\dagger} \vec{\varphi}_{0} (2(3 + \cosh(\mu)) - \Delta_{11} - \Delta_{12}). \end{split}$$

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#### Finite temperature

Finite temperature (volume) is conceptually trivial:

$$k_{\nu} \in [-\pi, \pi] \to \frac{2\pi}{N_{\nu}} n_{\nu}, \ n_{\nu} \in \{-N_{\nu}/2, \dots, N_{\nu}/2 - 1\}$$
$$\int_{-\pi}^{\pi} \frac{\mathrm{d}k_{\nu}}{2\pi} \to \frac{1}{N_{\nu}} \sum_{n_{\nu} = -N_{\nu}/2}^{N_{\nu}/2 - 1}$$

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#### **Finite volume effects**



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#### Critical chemical potential at T = 0 and $\lambda = 1$

$\eta$	9.00	7.44
MF	1.12908	-
EMFT	1.14582	0.17202
Monte Carlo <sup>2</sup>	1.146(1)	0.170(1)
Complex Langevin <sup>3</sup>	1.15(?)	-

<sup>2</sup>C. Gattringer and T. Kloiber, Nucl.Phys. B869, 56-73, (2013), hep-lat/1206.2954

<sup>3</sup>G. Aarts, PoS, LAT2009, hep-lat/0910.3772

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 Multi-component fields, e.g. Gaugeless SU(2) Higgs model

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• Gauge fields, e.g. Gauged *U*(1) Higgs model

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 Multi-component fields, e.g. Gaugeless SU(2) Higgs model

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QCD?

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Outlook

# Thank you for your attention!

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# Efficient *k*-integration

$$G(0)=\int \frac{\mathrm{d}^d k}{(2\pi)^d}\widetilde{G}^{-1}(k).$$

$$\widetilde{G}(k) = \left[ G_{\mathsf{EMFT}}^{-1} + \Delta - 2\sum_{\nu=1}^{d} \cos(k_{\nu} - i\mu\delta_{\nu,t}) \mathbb{I}_{N \times N} \right]^{-1} \\ = \left[ A - \epsilon(k,\mu) \mathbb{I}_{N \times N} \right]^{-1},$$

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$$\frac{1}{a - \epsilon(k, \mu)} = \int_0^\infty d\tau \exp\left(-\tau a\right) \prod_{\nu=1}^d \exp\left(2\tau \cos(k_\nu - i\mu\delta_{\nu, t})\right)$$

$$I_0(x) = \int_{-\pi}^{\pi} \frac{\mathrm{d}k}{2\pi} \exp(x\cos(k+z))$$

$$\int \frac{\mathrm{d}^d k}{(2\pi)^d} \frac{1}{a - \epsilon(k, \mu)} = \int_0^\infty \mathrm{d}\tau \exp\left(-\tau a\right) I_0(2\tau)^d.$$

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$$\widetilde{G}^{-1}(k) = rac{\mathsf{Adj}(A - \epsilon(k, \mu)\mathbb{I})}{\det{(A - \epsilon(k, \mu)\mathbb{I})}},$$

Partial fractions decomposition gives,

$$\widetilde{G}^{-1}(k)_{ij} = \sum_{n=1}^{N} rac{B_n^{ij}}{\lambda_n - \epsilon(k,\mu)},$$

 $\lambda$  are eigenvalues of A.

$$G(0)_{ij} = \sum_{n=1}^{N} B_n^{ij} C_n, \quad C_n = \int_0^{\infty} \mathrm{d}\tau \exp\left(-\tau \lambda_n\right) I_0(2\tau)^d$$

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# Density

$$n = \frac{\partial Z}{\partial \mu}$$
  
= 2 sinh  $\mu \langle \varphi \rangle^{2}$  + 2  $\left( \sinh \mu \int \frac{\mathrm{d}^{4} k}{(2\pi)^{4}} \operatorname{Re}[\langle \varphi^{*}(k)\varphi(k) \rangle_{c}] \cos(k_{4}) + \cosh \mu \int \frac{\mathrm{d}^{4} k}{(2\pi)^{4}} \operatorname{Im}[\langle \varphi^{*}(k)\varphi(k) \rangle_{c}] \sin(k_{4}) \right)$ 

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# Density

$$n = \frac{\partial Z}{\partial \mu}$$
  
=  $2 \sinh \mu \langle \varphi \rangle^2 + 2 \left( \sinh \mu \int \frac{\mathrm{d}^4 k}{(2\pi)^4} \operatorname{Re}[\langle \varphi^*(k)\varphi(k) \rangle_c] \cos(k_4) + \cosh \mu \int \frac{\mathrm{d}^4 k}{(2\pi)^4} \operatorname{Im}[\langle \varphi^*(k)\varphi(k) \rangle_c] \sin(k_4) \right)$   
 $\langle \varphi^*(k)\varphi(k) \rangle_c = G_{\mathrm{EMFT}}^{-1} + \Delta - 2 \sum_{\nu} \cos(k_{\nu} - i\mu\delta_{\nu,t}) \mathbb{I}$ 

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# First order jump



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